

Canadian Lawyers Liability Assurance Society
2020/2021 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Cassels Brock & Blackwell LLP

2. Address of principal office: 2100-40 King Street West
Toronto, ON M5H 3C2

Phone: (416) 869-5300 Fax: (416) 360-8877

3. Address, phone and fax numbers of other office(s):
Vancouver Office - Suite 2200, HSBC Building, 855 West Georgia Street, Vancouver BC V6C 3E8, T 604-691-6100, F 604-691-6120
Calgary Office - Suite 3810, Bankers Hall West, 888-3rd Street W., Calgary AB T2P 5C5, T 403-351-2920, F 403-648-1151

4. Management or service companies, date(s) established and services provided:
Scougall Services Limited Partnership, Est. 1998 (Administrative and Staffing Services)
CBB Services (BC) Limited, Est. 2012 (Attorney for Service (Corporate Records))
Scougall Management (1987) Limited, Est. 1987 (Agency services for Toronto and Vancouver office rent leasehold improvements and related financing)

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

Not applicable.

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2020:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	<u>36.78</u>	%	<u>35.7</u>	%
b) Criminal Law	<u>0</u>	%	<u>0</u>	%
c) Family Law	<u>0</u>	%	<u>0</u>	%
d) Intellectual Property	<u>4.04</u>	%	<u>4.1</u>	%
e) Labour Law	<u>1.47</u>	%	<u>2</u>	%
f) Litigation	<u>11.02</u>	%	<u>12.6</u>	%
g) Real Estate	<u>7.9</u>	%	<u>7.7</u>	%
h) Securities Law	<u>11.13</u>	%	<u>12.8</u>	%
i) Tax Matters	<u>1.48</u>	%	<u>1.6</u>	%
j) Wills, Estates, Trust	<u>0.09</u>	%	<u>0.2</u>	%
k) Other (please specify)	<u>26.09</u>	%	<u>23.3</u>	%
Financial Services				

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2019. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M

☐ \$20M xs \$160M

☐ \$30M xs \$160M

☐ \$40M xs \$160M

☐ \$50M xs \$160M

☒ \$60M xs \$160M

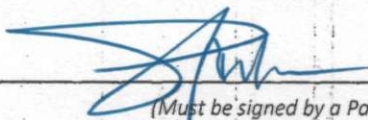
15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2019 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Gordon Peter Goodman

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

March 23, 2020

APPENDIX A

Predecessor Firms

Name of Firm

Cassels Brock & Blackwell LLP

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

[illegible]

APPENDIX B

Active Members of the Firm as of 01-Mar-20

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

March 1, 2020

	CANADA						OUTSIDE OF CANADA ¹⁵				
	B.C.	Alberta	Ontario			Other Provinces (Please specify, change heading)				Other Countries (Please specify, change heading)	Other Countries (Please specify, change heading)
a) No. of Lawyers ¹	24	4	150								
b) No. of Patent & Trademark Agents ²	0	0	0								
c) No. of Non-lawyer Consultants ³	1	0	0								
d) No. of Paralegals	12	2	34								
e) No. of Other Employees	26	12	240								
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ⁴	10	12	43								

/1 Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

/2 These are not lawyers.

/3 Please complete Appendix C if individuals are reported under this category.

/4 Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

/5 Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please provide full particulars such as percentage of practice other than Law.

Active Lawyers List, as at March 1, 2020

Tkpr Number	Timekeeper Name	Status	Office Description	Department Description	Title Description	Count			Count	
						AB	BC	ON	Associate	Partner
00259	Abramson, Lanning J.	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05086	Abudulai, Suhuyini	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
04515	Accursi, Chad	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05559	Alter, Matthew	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05841	Anand, Gaurav	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
04757	Arbuck, Jason	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06264	Arnone, Frank P.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05895	Ashmeade, Taschina	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
06014	Baraich, Sean	ACTIVE	Vancouver CBB	Business Law	Associate		1		1	
06189	Barretto, Jeremy	ACTIVE	Calgary CBB	Business Law	Partner	1				1
06355	Bassi, Randy	ACTIVE	Vancouver CBB	Financial Services	Associate		1		1	
06358	Bates, Christie	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
04536	Bellissimo, Joseph J.	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
00264	Bennett, Mark T.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06177	Berkman, Viviana	ACTIVE	Calgary CBB	Business Law	Associate	1			1	
05611	Berman, Wendy	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
00302	Birch, John N.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
04974	Blackburn, Jed	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05896	Blair, Lucas	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
06182	Blom, Randall	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05773	Blumberg, Robyn	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
04531	Boivin, Andre	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05485	Bornstein, Jeremy	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
06167	Britton, Michael	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
06005	Bross, Ave	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
06191	Brown, Graham	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
04524	Budd, David	ACTIVE	Vancouver CBB	Financial Services	Partner		1			1
00745	Bullen, Jason K.S.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00119	Burden, William J.	ACTIVE	Toronto CBB	Advocacy	Senior Counsel			1		1
06018	Buttery, Mary I.A.	ACTIVE	Vancouver CBB	Financial Services	Partner		1			1
05668	Byers, Kate	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05073	Campbell, Jennifer	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05599	Chambers, Gordon R.	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
05893	Chan, Winda	ACTIVE	Vancouver CBB	Financial Services	Associate			1	1	
05842	Chandler, Jonathan	ACTIVE	Vancouver CBB	Business Law	Associate			1	1	
06138	Chapman, Sam	ACTIVE	Vancouver CBB	Business Law	Associate			1	1	
04649	Chisick, Casey M.	ACTIVE	Toronto CBB	Business Law	Partner					1
06006	Chiu, Tiffany	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
04968	Choi, Nancy	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05733	Christian, John T.C.	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
04551	Chummar, Noble	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05843	Ciarlariello, Marco	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
06034	Cipollone, Daniel P.	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05785	Clark, Jenna	ACTIVE	Vancouver CBB	Financial Services	Associate		1		1	
04924	Clements, Lindsay	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05993	Coggin, Marisa	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05468	Cohen, Carly	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
00236	Cohen, Robert B.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05862	Cole, Sam	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
06092	Constantine, Maria	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
00258	Craig, John H.	ACTIVE	Toronto CBB	Business Law	Senior Counsel			1		1
06016	Davis, Tristan	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
06175	Decosimo, Michael C.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05649	Deluca, Frank	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06219	Di Francesco, Stefanie	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05762	Dietrich, Jane	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06131	Dilts, Andrew	ACTIVE	Vancouver CBB	Business Law	Associate		1		1	
05844	Dipardo, Danielle	ACTIVE	Vancouver CBB	Advocacy	Associate		1		1	
00284	Dominique, Brian P.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05859	Durno, Jeff	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
06285	Dykstra, Lindsay E.	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
06145	El Ofir, Miriam	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
06035	Enns, Jared	ACTIVE	Vancouver CBB	Financial Services	Associate		1		1	
00783	Fitzgerald, Andrea	ACTIVE	Toronto CBB	Business Law	Partner			1		1
04999	Fleisher, Jonathan	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05936	Fountain, Sherri	ACTIVE	Calgary CBB	Business Law	Partner	1				1
05285	Frankel, Ted	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05509	Freeman, Jonathan	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05239	Gardos, David	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06254	Garraway, Stephanie	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05965	Geary, Nicola	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05912	Gibson, Christie E.	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05624	Gill, Deepak	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
00283	Glickman, Leonard	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05071	Goldman, Jay	ACTIVE	Toronto CBB	Business Law	Partner			1		1

Active Lawyers List, as at March 1, 2020

Tkpr Number	Timekeeper Name	Status	Office Description	Department Description	Title Description	Count			Count	
						AB	BC	ON	Associate	Partner
05776	Goodis, Benjamin	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
00216	Goodman, Gordon P.	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06346	Gorys Andrea	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
00213	Gotlieb, Maxwell	ACTIVE	Toronto CBB	Business Law	Partner			1		1
04641	Gray, Tilly	ACTIVE	Toronto CBB	FourLines	Director			1		1
06276	Grigoriu, Corinne	ACTIVE	Calgary CBB	Business Law	Associate	1			1	
05846	Groenewald, Rowan	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05777	Grossman, Lauren	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05027	Ground, Colin	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06007	Hamaliuk, Joseph	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
00291	Hamilton, Arthur L.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
06164	Hamilton, Robert	ACTIVE	Calgary CBB	Business Law	Associate	1			1	
05241	Hansen, Jen	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
06066	Hansford, David	ACTIVE	Vancouver CBB	Business Law	Associate		1		1	
05148	Hardy, Shane	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05727	Hayman, Alison	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06363	Hellrung, Layne	ACTIVE	Vancouver CBB	Advocacy	Associate		1		1	
05564	Henderson, Stephen	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
04642	Henein, Peter	ACTIVE	Toronto CBB	Business Law	Partner			1		1
04938	Hersh, Chris	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05969	Hochter, Josef W.	ACTIVE	Calgary CBB	Business Law	Partner	1				1
05786	Hoekstra, Arend	ACTIVE	Vancouver CBB	Business Law	Associate		1		1	
05082	Hogan, Greg	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06080	Hojilla, Pamela	ON_LEAVE	Toronto CBB	Business Law	Associate			1	1	
05234	Holland, Stefanie	ON_LEAVE	Toronto CBB	Advocacy	Partner			1		1
05990	Holowachuk, Jason M.	ACTIVE	Calgary CBB	Advocacy	Partner	1				1
05380	Horkins, Christopher	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
00317	Iliopoulos, Alexandra	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05949	Isaac, Thomas	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
05612	Jackson, Lara	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05761	Jacobs, Ryan	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06100	Jakibchuk, Adrian	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05280	Jessome, Laurie	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05521	Jimeno, Natasha	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06293	Jivaji, Fatema	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
04720	Kapsales, Tom	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
04533	Karn, Bernice	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06224	Keating, Marisa	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05695	Kelman, David	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05595	Kennedy, Steven	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05847	Kerzner, Stephanie	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
06299	Khajetoorian, Armen	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06282	Khan, Tayyaba	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05604	Khullar, Shaun	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06008	Kim, Heesoo	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
04643	King, Jay	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00072	Kligman, Robert D.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05759	Kukulowicz, Shayne	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06226	Kuredjian, Jessica L.	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
06124	Lahaie, Emilie N.	ACTIVE	Vancouver CBB	Business Law	Associate		1		1	
05952	Lai, Kristen	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
04698	LaPalme Laurie	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05897	Lau, Florence	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05898	Lee, Conrad	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
06009	Lee, Kelly	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
04557	Leisk, Signe	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
06216	Leith, Ben	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05778	Leszcz, Noah	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05763	Levine, Natalie	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06140	Levinsky, Derek W.	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06070	Lewis, Jessica L.	ACTIVE	Vancouver CBB	Advocacy	Associate		1		1	
05957	Li Parizeau, Tera	ON_LEAVE	Toronto CBB	Business Law	Associate			1	1	
05527	Litchen, Jamie	ACTIVE	Toronto CBB	Business Law	Partner			1		1
04542	Livingstone, Laurie	ACTIVE	Calgary CBB	Advocacy	Partner	1				1
05991	Low, Evan D.	ACTIVE	Calgary CBB	Business Law	Partner	1				1
05094	Lungu, Michael	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05779	Lyle, James	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05084	Lysy, Robert	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06325	Manhas, Brandon	ACTIVE	Vancouver CBB	Business Law	Associate		1		1	
05078	Maniaci, Sean	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00211	Manzer, Alison R.	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05933	Marechal, Danielle	ACTIVE	Calgary CBB	Financial Services	Partner	1				1
05385	Martin, Jeremy	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05244	Mayzel, Eric	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
06198	McClelland, Chris	ACTIVE	Calgary CBB	Business Law	Associate	1			1	

Active Lawyers List, as at March 1, 2020

Tkpr Number	Timekeeper Name	Status	Office Description	Department Description	Title Description	Count			Count	
						AB	BC	ON	Associate	Partner
00255	Mercer, Cathy L.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
04736	Mercier, Marc	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05788	Metallo, Amanda	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05529	Mikelberg, Jeffrey	ACTIVE	Toronto CBB	Business Law	Partner			1		1
04506	Mingay, Cameron A.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05461	Mohajer, Ardy	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05850	Moher, Sophie	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05781	Murphy, Alexandra	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
06300	Naushahi, Ali	ACTIVE	Toronto CBB	Business Law	Partner			1		1
04737	Newman, Charles	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05899	Ngo, Richard	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05918	Nicholas, A. Chandimal	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00087	Norton, Christopher B.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06010	Obando, Any	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05900	O'Brien, Tegan	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05851	O'Donnell, Aimee	ACTIVE	Vancouver CBB	Business Law	Associate		1		1	
06161	O'Donnell, Katie-May	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05932	Oliver, Jeffrey	ACTIVE	Calgary CBB	Financial Services	Partner	1				1
05531	Omokhodion, Patience	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06102	Osborne, W. Michael G.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05927	Patel, Paras	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05305	Pendrith, Colin	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
00221	Peterson, David R.	ACTIVE	Toronto CBB	Business Law	Senior Counsel			1		1
06002	Phillips, Jackson	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05976	Picone, John M.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05746	Pilkington, Adrianna	ON_LEAVE	Toronto CBB	Advocacy	Partner			1		1
00053	Pinos, J.D. Timothy	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05133	Pizale, Alexander	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00064	Plener, Sheldon	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05789	Podolsky, Chad	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05765	Podowski, Darrell	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
05710	Poirier, Jennifer	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
06168	Politano, Stefan	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05465	Potter, Carla	ON_LEAVE	Toronto CBB	Financial Services	Partner			1		1
05641	Prest, Samantha	ON_LEAVE	Vancouver CBB	Business Law	Associate		1		1	
05901	Qin, Jasmine	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
06053	Ramgoolam, Ross R.	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
00309	Reback, Andrew	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05809	Redford, David	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
00197	Redmond, David A.	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
00162	Reeve, J. Brian	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05970	Rein, Kenton	ACTIVE	Calgary CBB	Financial Services	Partner	1				1
06020	Rich, Chuck	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06032	Richardson, Doug	ACTIVE	Calgary CBB	Business Law	Partner	1				1
06069	Richdale, Brigeeta	ACTIVE	Vancouver CBB	Advocacy	Partner		1			1
06036	Roberts, Stephanie	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
04983	Robinson, Frank	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05447	Ronde, Derek	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05902	Rourke, Meghan	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
04507	Roy, Jeffrey P.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05466	Russell, Caitlin	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05691	Salem, Andrew	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05471	Sassi, Monique	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
05569	Schaefer, Brittany	ON_LEAVE	Toronto CBB	Business Law	Associate			1	1	
05852	Scolieri, Amanda	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05486	Selby, Christopher	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
04605	Selznick, Stephen	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00130	Shaw, Geoffrey B.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
06011	Shepherd, Jonathan	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05676	Sherman, Jonathan	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00095	Silver, Lorne S.	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
06015	Sim, Rebecca	ACTIVE	Vancouver CBB	Advocacy	Associate		1		1	
05571	Simpson, Kyle	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05903	Singh, David	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05080	Snider, Kenneth	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05904	Sokoloff, Sam	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
05530	Somani, Aly	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05758	St. Cyr, Mark	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
00257	Stein, Paul M.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00321	Steinhart, Gary	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05536	Sullivan, Peter	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
06165	Swick, Brenda C.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05784	Tabi, Kwaku	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
06037	Tallim, Mike R.	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
05663	Taylor, Kristin	ACTIVE	Toronto CBB	Advocacy	Partner			1		1

Active Lawyers List, as at March 1, 2020

Tkpr Number	Timekeeper Name	Status	Office Description	Department Description	Title Description	Count			Count	
						AB	BC	ON	Associate	Partner
05984	Teasdale, Stephane	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05935	Templeton, Keith	ACTIVE	Calgary CBB	Business Law	Partner	1				1
06012	Tepperman, Jesse	ACTIVE	Toronto CBB	Business Law	Associate			1	1	
06223	Tombs, Anna	ON_LEAVE	Toronto CBB	Advocacy	Associate			1	1	
06260	Towriss, Shauna	ACTIVE	Vancouver CBB	Financial Services	Partner		1			1
00784	Traub, Jennifer	ACTIVE	Vancouver CBB	Business Law	Partner		1			1
05626	Uukkivi, Raivo	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
00265	Vettese, John P.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05484	Voudouris, Stephanie	ACTIVE	Toronto CBB	Advocacy	Associate			1	1	
05307	Wasylyk, Jennifer	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
00186	Watterworth, Ann L.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
00308	Weinberg, Lawrence M.	ACTIVE	Toronto CBB	Business Law	Partner			1		1
06017	Williams, H. Lance	ACTIVE	Vancouver CBB	Financial Services	Partner		1			1
05162	Winch, Melissa	ACTIVE	Toronto CBB	Advocacy	Partner			1		1
05575	Woodman, Bryan	ACTIVE	Toronto CBB	Financial Services	Associate			1	1	
04795	Woolford, Luke	ACTIVE	Toronto CBB	Business Law	Partner			1		1
05760	Wunder, Michael	ACTIVE	Toronto CBB	Financial Services	Partner			1		1
00254	Young, Mark I.	ACTIVE	Toronto CBB	FourLines	Partner			1		1
05103	Zagar, Jessica	ON_LEAVE	Toronto CBB	Advocacy	Associate			1	1	
06135	Zuniga, Daniel A.	ACTIVE	Calgary CBB	Business Law	Partner	1				1
Total Lawyers (245) by Location						16	34	195	92	153
Less: Lawyers on LTD										
04531	Boivin, Andre	ACTIVE	Toronto CBB	Business Law	Partner			-1		-1
05984	Teasdale, Stephane	ACTIVE	Toronto CBB	Business Law	Partner			-1		-1
Less: Professional Corporations						-12	-10	-43		
Total Lawyers (245) by Location						4	24	150	92	151

Professional Corporations, as at March 1, 2020

Lawyer Name	Province	Professional Corporation Name	Count		
			AB	BC	ON
Barretto Jerney, Prof Corp	Alberta	Jeremy Barretto Professional Corporation	1		
Fountain Sherri, Prof Corp	Alberta	Sherri Fountain Professional Corporation	1		
Hocher Josef, Prof Corp	Alberta	Josef W. Hocher Professional Corporation	1		
Holowachuk Jason, Prof Corp	Alberta	J.M. Holowachuk Professional Corporation	1		
Livingstone Laurie C, Prof Corp	Alberta	L.C. Livingston Professional Corporation	1		
Low Evan D., Prof Corp	Alberta	Evan Low Professional Corporation	1		
Marechal Danielle, Prof Corp	Alberta	Danielle Marechal Professional Corporation	1		
Oliver Jeffrey, Prof Corp	Alberta	Jeffrey Oliver Professional Corporation	1		
Rein Kenton, Prof Corp	Alberta	Kenton Rein Professional Corporation	1		
Richardson Doug, Prof Corp	Alberta	Doug Richardson Professional Corporation	1		
Templeton Keith, Prof Corp	Alberta	Keith Templeton Professional Corporation	1		
Zuniga Daniel A, Prof Corp	Alberta	Daniel A Zuniga Professional Corporation	1		
Budd David, Law Corp	British Columbia	David R. Budd Law Corporation		1	
Chambers Gordon, Law Corp	British Columbia	Gordon R. Chambers Law Corporation		1	
Christian John T.C., Law Corp	British Columbia	John T. Christian Law Corporation		1	
Durno Jeff, Law Corp	British Columbia	Jeff W. Durno Law Corporation		1	
Gill Deepak, Law Corp	British Columbia	Deepak S. Gill Law Corporation		1	
Isaac Thomas, Law Corp	British Columbia	Thomas Isaac Law Corporation		1	
Podowski Darrell, Law Corp	British Columbia	Darrell W. Podowski Law Corporation		1	
Redford David, Law Corp	British Columbia	David Redford Law Corporation		1	
Richdale, Brigeeta	British Columbia	Richdale Law Corporation		1	
Traub Jennifer, Law Corp	British Columbia	Jennifer J. Traub Law Corporation		1	
Abramson Lanning, Prof Corp	Ontario	Lanning J. Abramson Professional Corporation			1
Accursi Chad, Prof Corp	Ontario	Chad Accursi Professional Corporation			1
Alter Matthew, Prof Corp	Ontario	Matthew R. Alter Professional Corporation			1
Arbuck Jason, Prof Corp	Ontario	S. Jason Arbuck Professional Corporation			1
Bellissimo Joseph, Prof Corp	Ontario	Joseph J. Bellissimo Professional Corporation			1
Bennett Mark, Prof Corp	Ontario	Mark T. Bennett Professional Corporation			1
Berman Wendy, Prof Corp	Ontario	Wendy R Berman Professional Corporation			1
Birch John, Prof Corp	Ontario	John N. Birch Professional Corporation			1
Burden Bill, Prof Corp	Ontario	William Burden Professional Corporation			1
Chisick Casey, Prof Corp	Ontario	Casey M. Chisick Professional Corporation			1
Dietrich Jane, Prof Corp	Ontario	Jane O. Dietrich Professional Corporation			1
Ellis Larry, Prof Corp	Ontario	Larry Ellis Professional Corporation			1
Fitzgerald Andrea, Prof Corp	Ontario	Andrea FitzGerald Professional Corporation			1
Fleisher Jonathan, Prof Corp	Ontario	Jonathan E. Fleisher Professional Corporation			1
Glickman Leonard, Prof Corp	Ontario	Leonard Glickman Professional Corporation			1
Goldman Jason, Prof Corp	Ontario	Jason I. Goldman Professional Corporation			1
Goodman Gordon, Prof Corp	Ontario	Gordon P. Goodman Professional Corporation			1
Gotlieb Maxwell, Prof Corp	Ontario	Maxwell Gotlieb Professional Corporation			1
Hamilton Arthur, Prof Corp	Ontario	Arthur L. Hamilton Professional Corporation			1
Jacobs Ryan, Prof Corp	Ontario	Ryan Jacobs Professional Corporation			1
Jessome Laurie, Prof Corp	Ontario	Laurie B. Jessome Professional Corporation			1
Karn Bernice, Prof Corp	Ontario	Bernice M. Karn Professional Corporation			1
Khullar Shaunik, Prof Corp	Ontario	Shaun Khullar Professional Corporation			1
Lapalme Laurie, Prof Corp	Ontario	Laurie C. LaPalme Professional Corporation			1
Lysy Rob, Prof Corp	Ontario	Robert Lysy Professional Corporation			1
Manzer Alison, Prof Corp	Ontario	Alison R. Manzer Professional Corporation			1
Mercier Marc, Prof Corp	Ontario	Marc Mercier Professional Corporation			1
Mingay Cam, Prof Corp	Ontario	Cameron A. Mingay Professional Corporation			1
Plener Sheldon, Prof Corp	Ontario	Sheldon Plener Professional Corporation			1
Redmond David, Prof Corp	Ontario	David A. Redmond Professional Corporation			1
Reeve Brian, Prof Corp	Ontario	J. Brian Reeve Professional Corporation			1
Rich Chuck, Prof Corp	Ontario	Charles Rich Professional Corporation			1
Shaw Geoffrey, Prof Corp	Ontario	Geoffrey B. Shaw Professional Corporation			1
Silver Lorne, Prof Corp	Ontario	Lorne S. Silver Professional Corporation			1
Snider Ken, Prof Corp	Ontario	Ken Snider Professional Corporation			1
Stein Paul, Prof Corp	Ontario	Paul Stein Professional Corporation			1
Steinhart Gary, Prof Corp	Ontario	Gary Steinhart Professional Corporation			1
Uukkivi Raivo, Prof Corp	Ontario	Raivo Uukkivi Professional Corporation			1
Vettese John, Prof Corp	Ontario	John P. Vettese Professional Corporation			1
Watterworth Ann, Prof Corp	Ontario	Ann L. Watterworth Professional Corporation			1
Weinberg Larry, Prof Corp	Ontario	Lawrence M. Weinberg Professional Corporation			1
Wunder Michael, Prof Corp	Ontario	Michael Wunder Professional Corporation			1
Young Mark, Prof Corp	Ontario	Mark I. Young Professional Corporation			1
Total Professional Corporations by Location			12	10	43

APPENDIX C

Active Non-Lawyer Consultants Of The Firm As Of 01-Mar-20
(Excluding Patent & Trademark Agents)

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

March 1, 2020

SECTION A

[illegible]

/1 If underlying insurance is purchased, please complete Section B.

/2 Please complete this column ONLY for individuals who are not acting under the supervision of a lawyer AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C

Active Non-Lawyer Consultants Of The Firm As Of 01-Mar-20
(Excluding Patent & Trademark Agents)

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

March 1, 2020

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Cassels Brock & Blackwell LLP

1	The Named Insured under the CLLAS policies is Cassels Brock & Blackwell LLP.
2	The Firm has offices in Toronto, Vancouver and Calgary.
3	The Firm was a member of an established national affiliation of independent law firms comprised of Pouliot Mercure of Montreal and Alexander, Holburn, Beaudin & Lang of Vancouver. The Firm also had an international affiliation with Noriega y Escobedo in Mexico City. The affiliation was known as Cassels Pouliot Alexander Noriega.
4	From November 1990 to October 2000, Douglas Symes & Brissenden was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas Noriega (until joined by MacKimmie Matthews in June 1996). As of October 31, 2000, Douglas Symes & Brissenden ceased to practise law and the affiliation changed to Cassels Pouliot Noriega.
5	From June 1996 to February 1999, MacKimmie Matthews was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas MacKimmie Noriega. As of February 28, 1999, MacKimmie Matthews ceased to practise law and the affiliation changed to Cassels Pouliot Douglas Noriega.
6	In April 2001, Alexander, Holburn, Beaudin & Lang joined the affiliation and the affiliation changed its name to Cassels Pouliot Alexander Noriega.
7	In September 2004, Cassels Brock & Blackwell LLP ended the above affiliations and is no longer associated in any way with the above law firms.
8	In February 2004, Cassels Brock & Blackwell LLP became a member of the World Services Group, a global non-profit membership-by-invitation-only association of business service providers, in order to more effectively nationally and internationally market its legal services to persons requiring legal services in Ontario, create new business prospects and provide superior client service. The Firm withdrew from this association on December 6, 2011.
9	There is an endorsement on the policy to cover possible claims relating to the periods during which the following affiliations were in operation: a) Cassels Pouliot Douglas MacKimmie Noriega; b) Cassels Pouliot Douglas Noriega; c) Cassels Pouliot Noriega; and d) Cassels Pouliot Alexander Noriega. The endorsement also covers Cassels Brock & Blackwell LLP as a member of the World Services Group. However, as the affiliation with the World Services Group was not reported to CLLAS until April 11, 2005, claims known or should have been known prior to this date are excluded.

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Cassels Brock & Blackwell LLP

March 1, 2020

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

For lawyers practicing both Canadian and Non-Canadian Law, please provide a split between Canadian and Non-Canadian. Note that in cases where a split is not available, a 50% 50% split will be assumed.

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

[illegible]

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

March 1, 2020

4 Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

APPENDIX F

Schedule of Claims and Notices As of December 31, 2019

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

December 31, 2019

Please attach separately with the email

A handwritten signature in black ink, appearing to be "JL", is written over a white rectangular background.

reviewed

December 31, 2019

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Incurred Liability	LS Total Incurred	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Adjusting Paid	CLAS Incurred Liability	CLAS Adjusting Reserve	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Recov Other Reserve	CLAS Total Incurred	
GLIAS1993-152	R1285	31-May-1993	31-Oct-1990	David Boglmond	MARGO BOURQUE	30-Jun-1996	\$ -	\$ -	\$ -	\$ 7,180	\$ -	\$ -	\$ 7,180	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1993-160	R2061	30-Jun-1993	31-Oct-1990	Anne Marie WIDNER	COLE BUILDING LIMITED	4-Apr-1995	\$ -	\$ -	\$ -	\$ 2,973	\$ -	\$ -	\$ 2,973	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1993-161	R1563	31-May-1993	30-Apr-1993	S. JOHN PAGE	HAYWARD BURRY LIMITED	29-May-1998	\$ -	\$ 1,075	\$ -	\$ 22,667	\$ -	\$ -	\$ 23,742	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1993-163	R1563-A	31-May-1993	30-Apr-1993	S. JOHN PAGE	HAYWARD BURRY LIMITED	29-May-1998	\$ -	\$ 1,375	\$ -	\$ 21,292	\$ -	\$ -	\$ 22,667	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-077	R3701-S	11-Feb-1994	10-Jan-1989	PATRICIA A VAKIL	ROYAL PRECISION TOOLING LTD.	2-May-1994	\$ -	\$ 1,752	\$ -	\$ 3,527	\$ -	\$ -	\$ 5,279	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-103	R2928	26-Aug-1993	1-Jan-1991	James M. BUTSON	YORK TOWNE DECORATING	26-May-1994	\$ -	\$ 859	\$ -	\$ -	\$ -	\$ -	\$ 859	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-104	R12392	12-Aug-1993	31-Dec-1993	NARVEY KIRSH	HOWARD SHAIN	28-Mar-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-105	R3378-A	1-Dec-1993	1-Aug-1993	Ken C. Cancellara	ROMA GROUP OF COMPANIES	1-Feb-1999	\$ -	\$ -	\$ -	\$ 3,819	\$ -	\$ -	\$ 3,819	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-106	R3278	30-Aug-1993	1-Jul-1993	Stephen LEOREW	JAMES SKELTON	3-Dec-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-107	R3597	29-Oct-1993	1-Jan-1993	DONALD ARTHURS	ROYAL TRUST AND LAURENTIAN ET AL	24-Jun-1994	\$ -	\$ 417	\$ -	\$ -	\$ -	\$ -	\$ 417	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-108	R3884	23-Nov-1993	1-Nov-1993	MAXWELL GUTLIEB	DIGIAMMARINO	21-Nov-1994	\$ -	\$ 1,238	\$ -	\$ -	\$ -	\$ -	\$ 1,238	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-109	R1991	6-Nov-1993	1-Sep-1993	SANFORD WORLD	S. WOODEY	25-Mar-1994	\$ -	\$ -	\$ -	\$ 606	\$ -	\$ -	\$ 606	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-110	R3703	8-Nov-1993	1-Jul-1993	DONALD ARTHURS	D. SANDERS	31-Mar-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-111	S0849	17-Mar-1994	1-Jun-1985	Kenneth CANCELLARA	Ridolph, Frank	9-Mar-1995	\$ -	\$ 1,465	\$ -	\$ -	\$ -	\$ -	\$ 1,465	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-112	NFO	1-Mar-1994	1-Mar-1994	William H. Steele	MARGO JELKIE	1-Jun-1995	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-113	S0375	8-Feb-1994	1-May-1992	KAREN BELL	CIBC	14-Apr-1998	\$ -	\$ 2,526	\$ -	\$ -	\$ -	\$ -	\$ 2,526	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-114	R1657	13-Nov-1993	1-Apr-1991	Grace Ann WESTCOTT	248221 ONTARIO LIMITED/Turner, Smart	11-Mar-1996	\$ -	\$ -	\$ -	\$ 1,588	\$ -	\$ -	\$ 1,588	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-115	S1086	11-Apr-1994	1-Aug-1991	Kenneth CANCELLARA	DELLA TORRE, Louis	10-Aug-1994	\$ -	\$ 552	\$ -	\$ -	\$ -	\$ -	\$ 552	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-116	S1098	12-Apr-1994	1-May-1988	TREVOR BARTRAM	DELLA TORRE, Louis	31-Jul-1996	\$ -	\$ 3,140	\$ -	\$ 12,351	\$ -	\$ -	\$ 15,491	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-117	S905	22-Mar-1994	1-Feb-1994	WILLIAM BURREN	ONTARIO HOSPITAL ASSOCIATION	3-Oct-1995	\$ -	\$ -	\$ -	\$ 10,334	\$ -	\$ -	\$ 10,334	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-143	S1986	30-Jun-1994	1-Jul-1993	David A. Redmond	Cdn. Premier Property Investments	26-Oct-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-144	S2010-N	30-Jun-1994	1-May-1994	James Parks	Kate Kostiw and the Kodaks	31-Mar-1996	\$ -	\$ 40,000	\$ 1,565	\$ 13,169	\$ -	\$ -	\$ 54,734	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-145	S2018-R	30-Jun-1994	1-Jul-1989	Aubrey Russell	Sweda Farms et al	30-Jun-1996	\$ -	\$ 2,029	\$ 8,366	\$ -	\$ -	\$ 10,395	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-146	S1987-P	30-Jun-1994	1-Dec-1992	Aubrey Russell	Michael & Susan Douglas	31-Dec-1994	\$ -	\$ -	\$ 1,365	\$ -	\$ -	\$ 1,365	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-181	S1660	31-May-1994	1-Feb-1994	Frank Monteleone	Elaine Cuddington	6-Feb-1995	\$ -	\$ 696	\$ -	\$ -	\$ -	\$ 696	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1994-182	S1123-A	14-Apr-1994	1-Feb-1994	Frank Monteleone	Cambridge Suites Hotel	31-Dec-1994	\$ -	\$ 4,000	\$ 1,984	\$ -	\$ -	\$ 5,984	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-003-01	2nd FH	20-Jul-1994	20-Jul-1988	Lorne H. Solomon	Can Drain Company et al	30-Jun-1996	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-009	S2542-J	31-Aug-1994	1-Feb-1993	Anne-Marie Widner	Scotia Mortgage Corp /St. John's Polish Nat'l	31-Jul-1996	\$ -	\$ 883	\$ -	\$ -	\$ -	\$ 883	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-066	S3710	31-Dec-1994	1-Jul-1991	Rhodon/John Plener/Doe	Bob Reiger	31-Dec-1996	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-067	S3707	31-Dec-1994	1-Sep-1994	Timothy Pinos	Frederick C. Maltman	10-Jul-1997	\$ -	\$ 1,726	\$ -	\$ -	\$ -	\$ 1,726	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-080	S2230-E	28-Jul-1994	1-Jan-1992	D.R. Arthurs	T.A. Turbett	2-Feb-1995	\$ -	\$ 523	\$ -	\$ -	\$ -	\$ 523	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-093	S3846	31-Dec-1994	1-Oct-1994	William H. Steele	ACM General Contracting - Dixco	9-Mar-2004	\$ -	\$ -	\$ 19,147	\$ -	\$ -	\$ 19,147	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-094	T0446	30-Mar-1995	1-May-1992	Anne-Marie Widner	Robert and Martine Celei	12-Dec-1996	\$ -	\$ 26,000	\$ 994	\$ 4,377	\$ -	\$ -	\$ 21,371	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-096	T0435	28-Mar-1995	1-Mar-1978	Bruce/Kennet McNeely/Canc	Linda Tonge Holdings Ltd/ 337147 Ontario	13-May-1997	\$ -	\$ -	\$ 1,179	\$ -	\$ -	\$ 1,179	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-117	T0561	30-Apr-1995	1-Jun-1992	Robert Law	L.B. Sheppard Estate/ D. Sheppard and G Sheppard	11-Jan-2001	\$ 10,000	\$ -	\$ 25,855	\$ -	\$ -	\$ 35,855	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1995-150	T.0930	27-Jun-1995	1-Jun-1995	Mark V. Ellis	Mentor Networks Inc.	10-Apr-1996	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1996-003	T1054	18-Jul-1995	1-May-1995	Wanda Waskay	Commonwealth	31-Oct-1996	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1996-008	T1183	15-Aug-1995	19-Jan-1995	Howard Egan	Royal Insurance Company of Canada	17-Mar-1997	\$ -	\$ -	\$ 8,784	\$ -	\$ -	\$ 8,784	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1996-103	U0572	14-May-1996	22-Mar-1989	Ian L. McCulloch	Great-West Life Assurance Company	12-Dec-1996	\$ -	\$ 197	\$ -	\$ 197	\$ -	\$ -	\$ 197	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1997-066	V0142	17-Feb-1997	9-Nov-1994	Paul Stein	Gaming Lottery Corporation/Bank Leu AG	14-Nov-2002	\$ -	\$ -	\$ 258,811	\$ -	\$ -	\$ 258,811	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-018	N0667	4-Jun-1997	3-Oct-1994	Gregory Grestag	John William Cowan	20-Jan-1998	\$ -	\$ 1,357	\$ 1,845	\$ -	\$ -	\$ 3,202	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-019	V1113	25-Aug-1997	1-Jun-1996	Donald G. Gray	Alcan/Group Services (Alcan) Inc.	24-Dec-2008	\$ -	\$ -	\$ 369,587	\$ -	\$ -	\$ 369,587	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-091	W0453	20-Apr-1998	14-Aug-1996	Lance/Griff D. Wilder	Maternal/Infant Inc.	14-May-1999	\$ -	\$ 786	\$ -	\$ 786	\$ -	\$ -	\$ 786	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-101	U1798	11-Mar-1997	3-Apr-1996	Rui Fernandes	Transcon Recycling Inc.	10-Jan-2001	\$ -	\$ -	\$ 2,159	\$ -	\$ -	\$ 2,159	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-102	V0136	17-Feb-1997	6-Apr-1996	Lori A. Roth	Nelson Engineering & Contractors Ltd.	3-Jul-1997	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-103	T0930	27-Jun-1995	1-Jun-1995	Mark Ellis	Mentor Networks	23-Jan-1998	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-104	V0704	5-Jun-1997	1-Apr-1994	Paul M. Stein	Linda Stein	18-May-1998	\$ -	\$ 787	\$ -	\$ -	\$ -	\$ 787	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-105	duplca			Gregory Grestag	PRINCE OF WALES	25-May-1998	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-106	V1056	1-Aug-1997	19-Jan-1981	Robert Armstrong	Lansing Building Supply (Ontario) Ltd.	22-May-1998	\$ 28,000	\$ -	\$ 1,741	\$ -	\$ -	\$ 29,741	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-107	V1061	19-Aug-1997	22-Mar-1991	Peter Trebus	Solness Inc. and Judith Edler	22-Jan-1998	\$ -	\$ -	\$ 1,232	\$ -	\$ -	\$ 1,232	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-108	V1506	26-Nov-1997	30-Oct-1997	Lorne Silver	Shirley Schwartz & Estate of Larry Schwartz	22-Aug-2001	\$ 330,000	\$ 5,000	\$ 43,236	\$ -	\$ -	\$ 368,236	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-109	V1541	1-Dec-1997	1-Jan-1995	Clare E. Walker	Lorena Kerr/John Heworth/Marc Paquette	24-Apr-1998	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-110	V1736	23-Jan-1998	1-Apr-1996	Richard Rgow	Karna General/Dowdell	30-Nov-1999	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
GLIAS1998-119	W0880	27-Jun-1998	1-Aug-1994	Walter Bowen	Currage Inc.	25-Jan-1999	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CUAS												
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Incurred Liability	LS Total Incurred	CUAS Indemnity Paid	CUAS Legal Paid	CUAS Adjusting Paid	CUAS Incurred Liability	CUAS Adjusting Reserve	CUAS Indemnity Reserve	CUAS Legal Reserve	CUAS Recover Other Reserve	CUAS Total Incurred				
CLAS1999-017	W1087	28-Aug-1998	1-Jun-1997	Alison Munzer	NBM MAGNET INTERNATIONAL INC	30-Sep-2003	\$	\$	\$	1,016,561	\$	\$	1,016,561	\$	15,000,000	\$	1,638,403	\$	\$	\$	\$	\$	\$	\$	16,638,403
CLAS1999-041	W1640	1-Dec-1998	28-Mar-1996	Alison Munzer	Congress Financial Corporation	11-Apr-2003	\$	162,500	\$	85,392	\$	\$	247,892	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1999-082	X0074	1-Feb-1999	4-Jun-1999	L. Michael Shannon	L. Michael Shannon	11-Jun-1999	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS1999-126	X0368	7-Apr-1992	9-Mar-1994	Joseph David Pinos	Emmanuel Feuerwerker	5-Mar-2002	\$	\$	\$	2,603	\$	\$	2,603	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2000-021	X1182	12-Sep-1999	15-Jul-1999	Crawford R. Spencer	Canadian Tire Real Estate Limited	30-Jun-2000	\$	\$	\$	508	\$	\$	508	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2000-024	X1222	27-Sep-1999	24-Sep-1999	James Parks	Victor Dingley et al	18-Nov-1999	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2000-051	X1277	29-Sep-1999	7-Sep-1997	Leilah Edroos	Stephen Russoe	19-Nov-1999	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2000-069	X1751	26-Jan-2000	1-Aug-1996	Bruce Thomas	JNG/Halifax (formerly Guardian Insurance)	22-Feb-2000	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2000-095	Y0272	14-Mar-2000	9-Sep-1997	John McGowan	Robert Wiche and David Kingston	31-Dec-2008	\$	\$	\$	6,040	\$	\$	6,040	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2001-005	Y0909	1-Aug-2000	1-Jan-2000	William Steele	Almley & Associates Ltd/Notawasagaa Valley Center	19-Sep-2000	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2001-079	20143	16-Feb-2001	18-Oct-2000	Joseph Pinos	1069362 Ontario Inc c/o as Premier Health/Fit	4-Oct-2001	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2001-139	20671	19-Jun-2001	1-Aug-1999	Alison Munzer	Dana Crumock and Voicemate Inventory Systems	29-Sep-2006	\$	\$	\$	39,362	\$	\$	39,362	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2002-027	20951	23-Aug-2001	8-Dec-1999	Firaz Mohamed	Dominion of Canada Insurance Company	17-Nov-2003	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2002-067	No CST	8-Nov-2001	1-Mar-2000	Moria Wallace	Vince Paletta Sr.	7-Dec-2011	\$	\$	\$	4,538	\$	\$	4,538	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2002-097	02E0004	3-Jan-2002	16-Mar-1995	Frank Monteleone	John Stoenman, Sarah Stoneman and Mako Fims	30-Sep-2005	\$	\$	\$	13,351	\$	\$	13,351	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2002-134	02E0209	4-Mar-2002	9-Jan-2002	Lori A. Roth	PCL Constructors Eastern Inc./Zurich Insurance	3-Jan-2003	\$	\$	\$	1,650	\$	\$	1,650	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2002-144	No CST	1-Apr-2002	5-Aug-2000	D'Arcy McGiegy	Zurich Canada - Re Docke Shoppe claim	31-Dec-2008	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2002-251	02E0821	30-Jun-2002	26-Jan-2002	Christopher Norton	PriceWaterhouse Cooper/Jeffrey, Barry, Henry Campbell	2-Mar-2007	\$	130,000	\$	208,401	\$	\$	338,401	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-001	02E0833	5-Jul-2002	20-Sep-1996	Marcus Snowden	Thomas Gugelmisger	30-Sep-2004	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-007	02E0882	12-Jul-2002	13-Dec-1995	Alison Munzer	Adam, Kassam & Kassam Qhjai in Trust	26-Apr-2007	\$	30,000	\$	42,738	\$	\$	72,738	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-021	02E1031	7-Sep-2002	1-Jan-2002	Ann Watersworth	Solo International plc	25-Jun-2003	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-026	02E1115	20-Sep-2002	9-Oct-2001	RENATE HERBST	HSBC Bank of Canada	22-Jul-2004	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-029	02E1172	1-Oct-2002	10-Aug-2001	Robert Cohen	CompuOffice Software Inc./Ami Marshish	21-Nov-2002	\$	\$	\$	1,618	\$	\$	1,618	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-034	02E1195	7-Oct-2002	13-Aug-1990	Iden Cancellaria	Rita Tabone	27-Jun-2003	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-046	02E1248	18-Oct-2002	1-Aug-2002	John J. Abdo	IMCO International Inc. (Nesht Bostei)	10-Jul-2003	\$	6,404	\$	9,093	\$	\$	15,497	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-068	02E1869	18-Sep-2002	5-Feb-1999	Lawrence Weinberg	Bernard Cheung and Ben Wing Pun Mok (Kings Land)	31-Mar-2004	\$	\$	\$	18,300	\$	\$	18,300	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2003-117	03E0052	27-Jan-2003	3-May-2000	Walter Brower	Morgan Stanley Holdings Inc.	17-Feb-2006	\$	325,020	\$	166,260	\$	\$	491,280	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2004-034	TBA	23-Jul-2003	28-Mar-2003	Casper Chapp	Coastline (Tropical) Credit Union	25-Jul-2003	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2004-056	03E1434	4-Dec-2003	8-Feb-2001	David Ward	Great Bay Development Corp.	15-Feb-2007	\$	\$	\$	7,474	\$	\$	7,474	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2004-092	04E0004	9-Jan-2004	6-Jun-2004	D. Rick Angeloni	Kylemore Homes Limited	24-Oct-2005	\$	\$	\$	1,083	\$	\$	1,083	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-041	04E1561	14-Dec-2004	1-Aug-1988	Lorne Silver	Chester Waxman and family	31-Dec-2005	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-042	04E1300	27-Oct-2004	28-Jul-2004	Janine Kovach	GRQ - SRL Engineering	5-Oct-2005	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-115	04E1716	31-Jan-2005	24-Jul-2002	David Redmond	Canadian Tire Real Estate Limited	2-Jun-2006	\$	170,000	\$	17,931	\$	\$	187,931	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-128	No CST	15-Mar-2005	7-Mar-2002	GREGORY L PEEBLES	Bruno Appliance and Furniture Inc	25-Jun-2015	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-143	05E0266	15-Mar-2005	21-Mar-1994	L. Michael Shannon	Frank Piccolo	31-Dec-2008	\$	\$	\$	5,463	\$	\$	5,463	\$	38,338	\$	\$	\$	\$	\$	\$	\$	\$	38,338	
CLAS2005-099	05E0871	22-Jul-2005	3-Dec-2002	Daniel Bourque	Inner City Film Inc.	31-Dec-2006	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2006-023	No CST	14-Sep-2005		GREGORY L PEEBLES	Fred Maudon	25-Jun-2015	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2006-046	No CST	1-Dec-2005		GREGORY L PEEBLES	John Willock	1-Feb-2012	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2006-085	06E0063	19-Jan-2006	3-Mar-2006	Arthur Hamilton	Donald MacAlpine	31-Oct-2006	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-013	06E0992	10-Aug-2006	31-Jul-2006	Jeffrey P. Roy	Blackmont Capital Inc and Haywood Securities Inc.	17-Dec-2006	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-015	No CST	22-Aug-2006	6-Feb-2000	Michele Wright	Rhys R. Fockler and Elinor D. Cartwright	18-Aug-2010	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-022	05E1797	29-Sep-2006	7-Feb-2004	David Redmond	1300497 Ontario Limited	13-May-2009	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-058	06E1694	19-Dec-2006	14-Dec-2004	Howard Burthien	Reynolds	21-Mar-2007	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-113	06E1501	16-Nov-2006	21-Sep-2006	Marc Mercier	2092248 Ontario Inc	27-Feb-2007	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-127	07E0483	26-Apr-2007		David/John Gote/O'Reilly	Copper Core Limited	4-Feb-2008	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-132	07E0729	11-Jun-2007	1-Jan-2007	Craig Logie	Hanna Similovic	28-Aug-2007	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-141	07E0786	25-Jun-2007	1-Jun-1998	Alison Munzer	Golf Town Income Trust	28-Jun-2007	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-142	07E0761	20-Jun-2007	1-Jan-2007	Lorne Silver	The Electrolinks Corporation	12-Jul-2007	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-158	07E0825	29-Jun-2007	1-Feb-2007	Lorne Silver	Claudio Piccolotto	31-Dec-2008	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2007-159	07E0813	28-Jun-2007	7-Dec-1995	Lorne Saltman	Rennie Smythe	24-Jun-2009	\$	\$	\$	6,914	\$	\$	6,914	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2008-019	07E1134	30-Aug-2007		Edna Rosen	Omni Klusko	9-Jun-2008	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2008-028	06E-1903	11-Oct-2007	5-Jan-2007	Stephen Seltnoff	Central Group International Inc.	13-Oct-2009	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2008-066	07E1838	19-Dec-2007	1-Jan-2006	Jason Bullen	Dr. Hans M. Dorsch et al.	24-Jun-2008	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2008-074	07E-1869	26-Dec-2007		Bernice Raim	Novator Systems Ltd.	31-Dec-2007	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2008-075	No CST	20-Dec-2007		John O'Reilly	John Chiappetta	31-May-2010	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLIAS																	
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Incurred Liability	LS Total Incurred	CLIAS Indemnity Paid	CLIAS Legal Paid	CLIAS Adjusting Paid	CLIAS Incurred Liability	CLIAS Adjusting Reserve	CLIAS Indemnity Reserve	CLIAS Legal Reserve	CLIAS Recov Other Reserve	CLIAS Total Incurred									
CLIAS2008-089	07E-1922	11-Jan-2008	22-Nov-2007	Daryl Woolford	Unicom Financial Limited	20-May-2010	\$	-	\$	-	11,026	\$	-	\$	-	11,026	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2008-093	08E-0057	22-Jan-2008		L. Michael Shannon	Tita Mo	29-Jan-2008	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2008-098	08E-0023	24-Jan-2008	1-Aug-2005	Daniel Bourque	Rogers Cable Communications Inc.	31-Mar-2008	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2008-113	08E0347	12-Mar-2008	18-May-2003	Lorne Saltman	Jeffrey Lipson (Emilio Biscaccia)		\$	-	\$	-	725,076	\$	-	\$	-	274,924	\$	-	\$	-	\$	-	2,000,000	\$	-	\$	-	\$	-	3,500,000
CLIAS2008-123	04E1871	11-Apr-2008	8-Oct-2003	Peter Dunne	New Solutions Financial Corporation	24-Aug-2010	\$	-	\$	-	11,072	\$	-	\$	-	11,072	\$	-	\$	-	\$	-	2,000,000	\$	-	\$	-	\$	-	2,011,072
CLIAS2008-127	08E-0504	24-Apr-2008	30-Jun-2007	Marlin Horst	Regional Municipality of Niagara	22-Jul-2008	\$	-	\$	+	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	2
CLIAS2008-132	08E0554	1-May-2008		Lori A. Roth	PCI Constructors Canada/PCI Constructors Eastern	4-Mar-2009	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2008-153	08E-0835	18-Jun-2008	2-Jun-2006	Brian Koscak	Rolf Von Fintel, Troy Hurren, Jon Russell et al	30-Jun-2009	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2008-154	718A	19-Jun-2008		Peter Dunne	Stone & Co. Corporate Funds Limited	7-Aug-2008	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2008-155	08E-0803	20-Jun-2008	14-Feb-2004	William J. Burden	Joseph John Polio et al	28-Apr-2009	\$	-	\$	-	9,496	\$	-	\$	-	9,496	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2008-188	08E0981	10-Jun-2008		Zoe King	TSJ Solutions Inc.	31-Jul-2008	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-018	No CST	15-Aug-2008	3-Apr-2007	Erin E. Finlay	Deocharren Seetaram	11-Jan-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-024	08E1341	24-Sep-2008	14-Apr-2003	Diane Klukach	Javier Banuelos	6-May-2010	\$	-	\$	-	22,540	\$	-	\$	-	22,540	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-033	08E1498	20-Oct-2008	15-Jun-2008	Laurie Lapalme	Andrew Edwards	30-Jun-2009	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-048	No CST	9-Nov-2008		GREGORY J. PEEBLES	Demsey Limited	25-Jun-2015	\$	-	\$	-	\$	-	\$	-	\$	-	5,042	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	5,042
CLIAS2009-049	2nd file	14-Nov-2008		Lorne H. Saltman	Registered Canadian Amateur Athletic Assoc.	9-Aug-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-114	09E0177	27-Feb-2009	31-May-2001	Alison Manzer	HEPCOE Credit Union Ltd. (aka Meridian Credit)	10-Feb-2010	\$	-	\$	-	3,603	\$	-	\$	-	3,603	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-115	No CST	7-Feb-2009		Bruce A. Thomas (no longer with firm)	Inveresk PLC	30-Jun-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-125	09E0387	1-Apr-2009		David Budd	Charles Ivey	7-Apr-2009	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-157	09E0833	19-Jun-2009	2-Jan-2009	Nicole Andrea Auty	The Second City Theatre Company Inc.	26-Aug-2009	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-183	09E0913	30-Jun-2009	27-Nov-2008	Kenneth James Yellies	Massi Corner Inc. and Bramcon Development Ltd.	25-Jul-2012	\$	-	\$	-	3,841	\$	-	\$	-	3,841	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-184	09E0909	30-Jun-2009	5-Oct-2006	Diane Elizabeth Klukach	Pamela Stevens (Roslyn Tsao)	3-Dec-2015	\$	-	\$	-	34,920	\$	-	\$	-	34,920	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-185	09E0891	30-Jun-2009	2-Jan-1994	MAXWELL GOTLIEB	Harry Teperman and Irving Teperman	12-Mar-2011	\$	-	\$	-	22,242	\$	-	\$	-	22,242	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-186(a)	09E0903	30-Jun-2009	1-Nov-2008	Susan Marsh	Bohne Spring Industries Ltd.	30-Mar-2010	\$	-	\$	-	6,405	\$	-	\$	-	6,405	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2009-186(b)	718A	30-Jun-2009		Susan Marsh	Bohne Spring Industries Ltd.	30-Mar-2010	\$	-	\$	-	3,370	\$	-	\$	-	3,370	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-003	09E1030	24-Jul-2009	12-Apr-2005	Ralph Lean	Earl Gales and Jenkins, Gales & Martinez Inc.	14-Jan-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-006	09E1028	28-Jul-2009	6-Feb-2009	Geoff Shaw	2049080 Ontario Inc. (d.b.a. J.W. Car Care)	19-Aug-2009	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-020	09E1222	2-Sep-2009	28-Jul-2006	Charles Newman	Business Development Bank of Canada	18-Feb-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-025	09E1321	22-Sep-2009	30-Jun-2008	Stuart English	The Bess Corporation/Link Capital et al	25-Jan-2010	\$	-	\$	-	919	\$	-	\$	-	919	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-031	09E1356	2-Oct-2009	19-May-2000	Anne-Marie Widner	Robert Lawrie and Hawk Site Inc. re Condo Purchase	28-Jan-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-032	09E1356	2-Oct-2009		Anne-Marie Widner	Robert Lawrie and Hawk Site Inc. re Cottage Purch.	28-Jan-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-039	No CST	19-Oct-2009	15-Jun-2006	Audrey A. Warner (no longer at firm)	Woodlands by Sierra Inc. and The Rose Corporation	30-Sep-2011	\$	50,000	\$	-	35,000	\$	-	\$	-	85,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-047	09E1578	29-Oct-2009		Daniel Bourque	Ni-Go Lift Truck Ltd.	16-Nov-2009	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-059	No CST / 09E1710	19-Nov-2009	7-May-2009	Peter Harris	Tridaim Motor World (General Motors dealers)	18-Dec-2018	\$	-	\$	-	1,000,000	\$	-	\$	-	1,000,000	\$	27,572,445	\$	4,325,814	\$	-	\$	-	\$	-	\$	-	\$	31,898,259
CLIAS2010-062	09E1739	24-Nov-2009	14-Oct-2009	Signe Blanka Leisak	Sylvester Brown and Murray Brown	21-Jan-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-063	09E1774	3-Dec-2009	12-Jan-2009	Jennifer Campbell	Caspian Energy Inc.	24-Feb-2010	\$	-	\$	-	1,785	\$	-	\$	-	1,785	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-065	09E1798	3-Dec-2009	18-May-2001	Don R. Arthurs	Zurich Insurance as subrogee of Eco-Tec Inc.	26-Jun-2018	\$	898,259	\$	-	101,741	\$	-	\$	-	1,000,000	\$	1,101,741	\$	-	\$	-	\$	-	\$	-	\$	-	\$	3,101,741
CLIAS2010-102	10E0196	25-Feb-2010	15-Nov-1994	Lorne Saltman	Magnetite Igra	9-Sep-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-107	No CST	10-Feb-2010	6-Nov-2008	James Rossiter (no longer at firm)	SkyLink Aviation Holdings, LLC, SkyLink Aviation I	25-Feb-2013	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-112	10E0249	2-Mar-2010	1-Oct-1988	MAXWELL GOTLIEB	York Super Pharmacy Ltd. and Seymour Pepper (Jeece)	28-Apr-2011	\$	-	\$	-	8,418	\$	-	\$	-	8,418	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-113	10E0271	5-May-2010	8-Jan-2008	Robert Lyvo	The Equitable Trust Company	13-Nov-2012	\$	-	\$	-	215,000	\$	-	\$	-	215,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-114	10E0375	5-Apr-2010	1-Jun-2009	Cathy Mercer	HomeLand Uranium Inc.	31-Mar-2011	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-146	10E0441	18-Dec-2010	13-Nov-2007	Gary Steinhart	The Rose Corporation	3-Aug-2010	\$	-	\$	-	15,824	\$	-	\$	-	15,824	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-147	10E0483	26-Apr-2010		D'Arcy D. McGooey	Michael Reyes	31-Mar-2011	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-164	09E2142	27-May-2010	26-Jun-2009	Robert Cohen	Leigh S. Ulrich	31-May-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2010-184	No CST	30-Jun-2010	30-Sep-2002	Stanley M Makuch	Town of Georgina	2-May-2012	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2011-006	10E1153	20-Aug-2010	4-Feb-2009	Eunice Dos Reis Machado	Eureka Importing and Distributing Limited	9-Nov-2010	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2011-014	10E1206	1-Sep-2010	1-May-2006	John Yestese	Royal Laser Corp.	13-Jul-2012	\$	-	\$	-	201,671	\$	-	\$	-	201,671	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2011-017	10E1317	17-Sep-2010	15-Jul-2010	Mark Young	Go-Go Travel & Tours Ltd.	30-Jun-2011	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2011-021	10E1332	30-Sep-2010		Sheldon Pienar	Roy A. Miskar	22-Mar-2011	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Incurred Liability	LS Total Incurred	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Adjusting Paid	CLAS Incurred Liability	CLAS Adjusting Reserve	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Recover Other Reserve	CLAS Total Incurred	
CLAS2011-028	10E1389	19-Oct-2010	25-Jan-2010	Erik Daniel Goldsilver	Prescott-Russell Services to Children and Adults	17-Mar-2014	\$ 133,000	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 158,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2011-031	10E1411	21-Oct-2010	30-Jul-2010	Theodore B. Frankel	Scan Tube Steel Services	22-Mar-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-042	09E2186	8-Nov-2010	9-Sep-2005	Lorne Stephen Silver	Jaystren Holdings Limited and Stephen Stren	23-Jul-2012	\$ -	\$ -	\$ -	\$ 28,638	\$ -	\$ -	\$ 28,638	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-043	10E1557	10-Nov-2010	1-Jul-2010	Kenneth Yolles'	Willowfield Winchester Inc.	10-Mar-2011	\$ -	\$ -	\$ -	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-048	10E1631	17-Nov-2010	5-May-2010	Jason Seroskie	GE Canada Equipment Financing G.P.	6-Jan-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-049	10E1639	19-Nov-2010	2-Jan-1995	Mark Ian Young	Governing Council of the University of Toronto	27-May-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-050	No CST	22-Nov-2010	3-Feb-2010	Kenneth Yolles	Dalemont Properties Inc.	4-May-2015	\$ -	\$ -	\$ -	\$ 12,854	\$ -	\$ -	\$ 12,854	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-073	No CST/ 10E1980	29-Dec-2010	1-Nov-2010	Stanley Mathew Makuch	Allan Lazier (Alkar Investments Inc.), et al.	14-May-2019	\$ 350,000	\$ -	\$ -	\$ 93,554	\$ -	\$ -	\$ 443,554	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-088	01E0025	13-Jan-2011	1-Jun-2010	Andrew Reback	Mercen Canada Toronto Inc.	31-Mar-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-115	11E0168	22-Feb-2011	4-Sep-2008	David Anthony Redmond	Asa et al	28-Jul-2014	\$ 7,500	\$ -	\$ -	\$ 8,523	\$ -	\$ -	\$ 16,023	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-129	11E0414	10-Mar-2011	6-Jan-2011	Amanda Plastina	Sunview Patio Doors Ltd.	1-Mar-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-169	11E0583	29-Apr-2011	9-Apr-2010	Arthur Lloyd Hamilton	Helena Guergis		\$ -	\$ -	\$ -	\$ 277,305	\$ -	\$ 320,000	\$ 597,305	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-172	11E0623	10-May-2011	24-Mar-2011	John Vettesse	Bayfront Capital Partners Limited and Bayfront Acq	22-Jun-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-186	11E0775	9-Jun-2011	25-Feb-2011	L. Michael Shannon	Brittney Galba and Rachel Galea	8-Aug-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-200	11E0747	1-Jan-2011		Duane Milot	Michael Coveley and Solbyung (Stella)Yoon Coveley	30-Jun-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2011-204	11E0687	16-May-2011	1-Jan-2011	Paul Muchnik	CIBC Mortgages Inc.	4-Nov-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-003	11E1175	19-Jul-2011	6-Apr-2004	Gordon G. Dickson	Fearless Investments Limited/Monica Virmani	6-Nov-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-013	OIC	9-Aug-2011	1-Jun-2008	Michael Weinczok	Ascendia Brands Inc. and Ascendia Brands (Canada)	31-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,623	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,623	
CLAS2012-032	11E1535	11-Oct-2011	29-Jun-2011	Theodore Bloch Frankel	Hy-Drive Technologies Ltd. et al	24-Nov-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-033	No CST	21-Sep-2011		Audrey A. Warner	Douglas and Jennifer Kamphays	10-Jun-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-040	No CST	28-Sep-2011		Peter Harris	Saturn Dealers/Stuart Budd & Sons Ltd. et al	12-Jun-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-051	11E1898	28-Nov-2011	1-Jan-2011	Leonard Glickman	Kaleidoscope Entertainment Inc. and Temple Insuran	7-Dec-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-058	11E1947	7-Dec-2011	31-Dec-2010	Erik Goldsilver	New Gold Inc.	16-Dec-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-059	11E2001	7-Dec-2011	1-Feb-2008	James M. Parks	Donald B. Dymont Holdings Limited et al		\$ -	\$ -	\$ -	\$ 22,055	\$ -	\$ -	\$ 22,055	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-068	11E2186	1-Dec-2011	28-Jul-2006	Max Gottlieb	Beneficiaries of the Estate of Paul Chitel	13-Nov-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-064	11E2198	16-Dec-2011	25-Apr-2011	Leonard Glickman	Wager & Wellness Solutions Inc.	7-Dec-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-074	11E2078	22-Oct-2011	15-Sep-2006	Lorne Stephen Silver	Frank Mastineck	27-Mar-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-149	12E0642	4-May-2012	1-Feb-2011	Joseph David Timothy Pinos	Brock University	31-Dec-2013	\$ 22,000	\$ -	\$ -	\$ 20,518	\$ -	\$ -	\$ 42,518	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-150	12E0628	18-Apr-2012	3-Feb-2011	Catherine Dagnis	University of Toronto	23-Nov-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-191	No CST	5-Apr-2012	6-Nov-2012	Robert McGill	Don Ferguson	28-Jun-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2012-008	12E1240	10-Aug-2012	7-Sep-2011	Cara L. Shames	Cleveland Shaw	7-Feb-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-011	12E1190	9-Aug-2012	25-Feb-2010	David Anthony Redmond	Dr. Stuart Shanker and Mrs. Virginia Shanker	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-013	12E1197	14-Aug-2012	1-Jun-2008	Mark Young	Int'l Oil and Gas Technology Ltd. (IOGT)	3-Jul-2015	\$ -	\$ -	\$ 125,486	\$ -	\$ -	\$ 125,486	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-024	12E1458	27-Sep-2012	31-Jul-2011	Lorne Saltman	VanderMare Investment Corporation	25-Nov-2015	\$ 708,339	\$ -	\$ 291,667	\$ -	\$ -	\$ 1,000,000	\$ -	\$ 34,497	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-038	12E1699	30-Oct-2012	9-May-2012	Jed Blackburn	Brad Cabana	22-Feb-2013	\$ -	\$ -	\$ 13,999	\$ -	\$ -	\$ 13,999	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 34,457	
CLAS2013-046	12E1919	23-Nov-2012	15-Oct-2011	Frank Robinson	2180511 Ontano Limited o/a A Buyer's Choice Home	7-Feb-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-050	12E1939	29-Nov-2012	15-Nov-2011	David Butler	Victor Korchenko	10-Jan-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-060	12E2268	20-Dec-2012	14-Aug-2009	Luke Woodford	Wheels International Freight Systems et al	9-Jul-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-065	12E2245	28-Dec-2012	27-Apr-2012	Marc Mercer	Tom Baker	31-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-084	13E0253	27-Feb-2013	30-Jul-2009	Lawrence D. Wilder	Thomas Smeenk	17-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-085	No CST	26-Feb-2013	1-Jul-2009	Carol Anne O'Brien	Research Planning & Marketing/Su Penny	18-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-092	13E0292	5-Mar-2013	1-May-2010	Robert Brian Cohen	Luc Lacroix et al	21-Aug-2014	\$ -	\$ -	\$ 25,864	\$ -	\$ -	\$ 25,864	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-096	13E0357	14-Mar-2013	6-Jun-2011	Y. Ken Chan	Step by Step Custom Orthotic et al		\$ -	\$ -	\$ 12,013	\$ 150,000	\$ 62,987	\$ 225,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-142	13E0983	27-Jun-2013	30-Sep-2009	E. BRUCE LEONARD	Norrel Networks UK Pension Trust Limited	16-Apr-2014	\$ -	\$ -	\$ 7,980	\$ -	\$ -	\$ 7,980	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-146	13E0929	1-May-2013		Lorne Saltman	Wilco UK Limited	10-Mar-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-153	13E0756	23-May-2013	1-Sep-2011	Janine Kovach	Transpharm Canada Inc. and ORX Pharmaceutical	23-Oct-2017	\$ -	\$ -	\$ 51,877	\$ -	\$ -	\$ 51,877	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLAS2013-156	13E0814	30-May-2013	19-Aug-2011	Peter Dunne	David C. Phillips and First Leasehold Wealth		\$ -	\$ -	\$ 39,797	\$ 95,000	\$ 27,001	\$ 161,798	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society							CLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Incurred Liability	LS Total Incurred	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Adjusting Paid	CLAS Incurred Liability	CLAS Adjusting Reserve	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Recov Other Reserve	CLAS Total Incurred		
CLAS2016-041	13E1833	8-Nov-2013		Laurie Jessome	Bourne Mills of Canada Inc.	17-Mar-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-045	13E1900	18-Nov-2013	8-Jan-2013	Pollyanna Lord	Northland Resources S.A.		\$ -	\$ -	\$ -	\$ 31,038	\$ -	\$ 15,798	\$ 46,836	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-046	13E1584	10-Oct-2013	17-Nov-2009	Lorne Silver	Donald Best	14-Jun-2016	\$ -	\$ -	\$ -	\$ 22,238	\$ -	\$ -	\$ 22,238	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-075	14E0062	21-Jan-2014	14-May-2007	Ruby Wong	0789725 BC Ltd. & 1346012 ON Ltd.	29-Jan-2018	\$ -	\$ -	\$ -	\$ 12,239	\$ -	\$ -	\$ 12,239	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-084	14E0165	19-Feb-2014	7-May-2012	Solistic Reynal de St. Michel	CBE S.A.S.	12-Jan-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-102	13E1889	22-Nov-2013	13-Mar-2007	Lorne Silver	BNL Entertainment Inc., et al	21-Sep-2015	\$ -	\$ 43,850	\$ -	\$ -	\$ -	\$ -	\$ 43,850	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-125	14E0700	29-May-2014	31-Dec-2004	David Redmond	Kinlet Foods & Amfissa	13-Aug-2015	\$ -	\$ -	\$ -	\$ 8,244	\$ -	\$ -	\$ 8,244	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-131	14E0780	2-Jun-2014	26-Jul-2012	Paul Stein	The Cash Store Financial Services Inc (Cash Store)		\$ -	\$ -	\$ -	\$ 644,254	\$ 97,000	\$ 258,746	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,000,000	\$ 1,500,000	\$ -	\$ -	\$ 2,500,000
CLAS2016-146	14E1042	27-Jun-2014	16-Sep-2013	France Tenaille	Gondwana Oil Corp	18-Jul-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,000,000	\$ 1,500,000	\$ -	\$ -	\$ 2,500,000
CLAS2016-151	14E0973	30-Jun-2014	1-Jan-2007	Peter Dunne	North American Capital Inc.		\$ -	\$ -	\$ -	\$ 24,024	\$ 50,000	\$ 100,976	\$ 175,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-001	14E0999	7-Jul-2014	25-Jun-2014	Geoff Breen	Premier Executive Suites Limited (PESL)	7-Jan-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-006	14E1086	16-Jul-2014	24-Apr-2011	Stuart English	Estate of Ross Smith and Todd Smith (beneficiary)	22-Apr-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-011	14E1165	31-Jul-2014	29-Jul-2011	Colin Ground	Extreme Venture Partners Fund I LP et al		\$ -	\$ 8,750	\$ -	\$ 310,078	\$ -	\$ 138,671	\$ 457,499	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-022	14E1467	25-Sep-2014	26-Oct-2012	Ellen Bessonier	Paul Azeff and Konn Bobrow	15-Jun-2016	\$ -	\$ 220,000	\$ -	\$ 18,977	\$ -	\$ -	\$ 238,977	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-029	14E1609	16-Oct-2014	19-Apr-2012	Martin Horst	Royat Capital Inc.	31-Mar-2015	\$ -	\$ -	\$ -	\$ 6,320	\$ -	\$ -	\$ 6,320	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-073	14E2035	8-Dec-2014	17-Oct-2014	Raivo Uukivi	Kroy Properties Inc. et al	7-Jul-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-081	15E0234	17-Feb-2015	27-Sep-2011	Roberta McGill (retired)	Beneficiaries of Estate of Ruth Drummond-Wylie	23-Aug-2016	\$ -	\$ 9,018	\$ -	\$ 15,982	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-144	15E0780	2-Jun-2015	24-Nov-2014	Todd (Gavin) Robinson	Grant Thornton receiver of Kappeler/MasonryCorp	27-Nov-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-006	15E1124	27-Jul-2015	24-Oct-2005	John McGowan	Achilles Media Inc	30-Mar-2016	\$ -	\$ -	\$ -	\$ 4,378	\$ -	\$ -	\$ 4,378	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-010	15E1151	24-Jul-2015	1-Feb-2013	David S. Ward	Fanotech Enviro Inc. et al v. Fanocorp Ltd. et al	24-Sep-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-030	15E1553	7-Oct-2015	29-May-2015	Iason Sernoskie	Sterling Capital Management Inc		\$ -	\$ -	\$ 234,799	\$ 624,345	\$ 140,856	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-037	15E1798	2-Nov-2015	11-Dec-2014	Lanning Abramson	Equity Financial Holdings Inc.	11-May-2017	\$ -	\$ 91,117	\$ -	\$ 73,042	\$ -	\$ -	\$ 164,159	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-084	10E2176	28-Jan-2016	13-Jun-2008	David Redmond	1586896 Ontario Inc. (Reg and Stephen Quene)	12-Jan-2017	\$ -	\$ 125,000	\$ -	\$ 27,351	\$ -	\$ -	\$ 152,351	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-108	16E0549	5-Apr-2016	10-Nov-2004	James Rossiter	Lorne Plett		\$ -	\$ -	\$ -	\$ 89,631	\$ 5,000	\$ 905,369	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000	\$ -
CLAS2016-112	16E0466	26-Mar-2016	1-Jan-2015	Grainger Joseph Hogan	National Bank Financial Inc.	30-May-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-126	16E0571	12-Apr-2016	21-Mar-2016	Gavin Todd Ashley Robinson	Grant Thornton as receiver Kappeler Masonry Corp	7-Nov-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-136	16E0667	27-Apr-2016	17-Feb-2016	Leonard Glickman	Cavium, Inc.	17-Jul-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-144	16E0797	19-May-2016	7-Nov-2012	Tom Kapsalles	Northwest Atlantic (Canada) Inc.	18-Oct-2018	\$ -	\$ -	\$ -	\$ 32,665	\$ -	\$ -	\$ 32,665	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-173	16E0967	13-Jun-2016	1-Sep-2011	Tom Kapsalles	David Anderson,Karen Anderson	23-Feb-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-036	16E1647	6-Oct-2016	18-Aug-2016	Jay Francis King	Frontier Rare Earths Limited	24-Oct-2017	\$ -	\$ -	\$ -	\$ 7,515	\$ -	\$ -	\$ 7,515	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-060	16E2047	28-Nov-2016	21-Jan-2008	Robert Cohen	IMeyn Canada Inc.		\$ -	\$ -	\$ 181,840	\$ 310,000	\$ 73,590	\$ 565,430	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-064	16E2511	8-Dec-2016	3-Aug-2010	Cathy Mercer	Lundin Gold Inc (formerly Fortress Minerals)	11-Oct-2018	\$ -	\$ -	\$ -	\$ 33,669	\$ -	\$ -	\$ 33,669	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-071	16E2324	22-Dec-2016	1-Apr-2016	Signe Blanka Leisk	TDI Group Corp.	11-Jan-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-096	17E0108	27-Jan-2017	15-Jun-2015	Jennifer Campbell	Northquest Ltd.	24-Oct-2017	\$ -	\$ -	\$ -	\$ 712	\$ -	\$ -	\$ 712	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-106	16E2428	16-Feb-2017	1-May-2016	Theodore B. Frankel	Gemcorp International Ltd.	26-Feb-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-109	15E2404 *	9-Mar-2017	25-Aug-2012	Frank Robinson	Mark's Work Wearhouse Ltd.	9-Sep-2019	\$ -	\$ 2,000	\$ -	\$ -	\$ -	\$ 2,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-119	17E0782	5-Apr-2017	2-Mar-2017	Arthur Hamilton	224942 Ontario Inc.	19-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-120	16E2265	22-Dec-2016	1-May-2016	Casey Chisick	CEBROM	24-Oct-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-186	16E0862 *	27-Apr-2017		Gordon Jacobs	Tobradsh Trust		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-144	17E0812	15-May-2017	1-May-2017	Paul Muchnik	Soneil Steeles Inc.,Soneil West Inc.,Soneil Bram 812 Inc.,Soneil Bram 101820 Inc.	14-Aug-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLAS											
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Incurred Liability	LS Total Incurred	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Adjusting Paid	CLAS Incurred Liability	CLAS Adjusting Reserve	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Recov Other Reserve	CLAS Total Incurred			
CLAS2017-155	17E0989	13-Jun-17	9-Sep-2016	Shane Hardy	267 Morgan Limited Partnership,2450 Dufferin Yorkdale Limited Partnership,356-360 Hoffman Street Limited Partnership,Buzzers Hockey LP,Cushman & Wakefield New Canada Limited Partnership,Enobia Canada Limited Partnership,Extreme Venture Partners Annex Fund I LP,Gavin Properties Limited Partnership #1,HD 940 Thornton LP,Hotel 550 Wellington LP,Hugo Crescent Limited Partnership,IGW Reit Limited Partnership,King Live Limited Partnership,Kraus Brands LP,Kraus Canada LP,Kraus Carpet LP,Kraus Properties LP,Kraus Systems LP,Portland Gaming Fund LP,Rose Beeton Limited Partnership,Rose Berkeley Limited Partnership,Rose Cannore Paintbrush Limited Partnership,Rose Dundas Limited Partnership,Rose Heritage Acquisition Limited Partnership,Rose High Park Limited Partnership,Rose Hucknall Limited Partnership,Rose Investments II Limited Partnership,Rose Lakeshore Limited Partnership,Rose Midhurst Limited Partnership,Rose Montreal Viau Limited Partnership,Rose South Hamptons Limited Partnership,Rose Spruce Village Limited Partnership,Rose Stomil Blain Limited Partnership,RPV LLC (Planet Fitness)	29-Jan-2018	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2017-172	17E0598	21-Apr-2017		Suhayni Abdulul	PRIP LLC (Planet Fitness)	25-Oct-2017	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2017-173	17E0966	6-Jun-2017	2-Mar-2015	Mark Bennett	Gateway Services Inc.,Imperial Capital Limited	12-Jun-2018	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2018-061	18E0190	5-Feb-2018	2-Jan-2016	Wendy Berman	Geoff Woo	30-Sep-2018	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2018-074	18E0241	16-Feb-2018	21-Nov-2017	Peter Sullivan	Sprott Canina HoldCo Corp. Sprott Inc	19-Apr-2018	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2018-088	18E0633	16-Apr-2018	20-Dec-2012	Lorne Saltman	Ha-Kyung Helen Song		\$	\$	\$	22,877 \$	5,000 \$	27,123 \$	55,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2018-103	18E0894	18-May-2018	12-Sep-2017	Robert Cohen	Alcantara Parties		\$	7,431 \$	\$	\$	8,569 \$	\$	16,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2018-110	18E1067	7-Jun-2018	16-Dec-2015	Geoff Shaw	ASWR Franchising Corp.		\$	\$	\$	8,499 \$	\$	31,541 \$	40,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2018-119	18E1131	27-Jun-2018	20-Jun-2018	Jason Goldstein	Dalriadan Resources Inc.	11-Mar-2019	\$	\$	\$	1,203 \$	\$	\$	1,203 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-016	18E1372	29-Aug-2018	22-Aug-2018	Mark Bennett	Various firm clients	14-Apr-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-029	18E0717	14-Sep-2018		Mary Iola Aundna Buttery	Century Services Corp.		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-032	18E1831	10-Oct-2018	20-Aug-2018	Stephanie Roberts	Monodox Inc.	6-Dec-2019	\$	\$	\$	12,353 \$	105,000 \$	237,647 \$	355,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-048	18E2322	5-Dec-2018	27-Nov-2018	Frank DeLuca	Harvest Health & Recreation Inc.	12-Nov-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-060	No CST / 18E2511	27-Nov-2018	13-Jul-2017	Todd (Gawn) Robinson	Rouhangz Malekzadeh,Ali Shekan		\$	\$	\$	\$	\$	10,000 \$	10,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-061	18E2520	31-Dec-2018	1-Jan-2012	Casey Chisick	Naomi Brewer		\$	\$	\$	\$	5,000 \$	5,000 \$	10,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-064	18E2525	17-Jan-2019	31-Dec-2011	Jonathan Fleisher	Rabba 1991 Trust,Imre Nicholas Rabba		\$	\$	\$	20,888 \$	5,000 \$	30,000 \$	55,888 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-079	19E0140	14-Mar-2019	9-Jan-2019	Sean Maniaci	VerdeBlue Farms Inc.		\$	\$	\$	23,021 \$	\$	46,888 \$	69,909 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-088	19-0280	29-Mar-2019	10-Jan-2019	Brigetta Richdale	AGNL Avonics Abbotford Nominee ULC	24-Jul-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-106	No CST / 19E0731	16-Apr-2019		L Michael Shannon	Ilukie Galati		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-107	19-0641	7-May-2019	26-Oct-2018	Jeffrey Oliver	Jeffrey Schneider	23-Dec-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-109	19-0460	13-May-2019	16-Nov-2018	Jared Enns	De Lage Landen Financial Services Canada Inc.		\$	\$	\$	\$	\$	55,000 \$	55,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-117	19E1049	7-Jun-2019	15-Nov-2018	Alexandra Iliopoulou	Lookabout Corp	20-Aug-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-122	19E1138	13-Jun-2019	27-Oct-2011	Robert Lyry	St. James Investments Limited	21-Oct-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-123	TBA	18-Jun-2019		Debra Mlakach	Debra Alexander (York)		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2019-124	19-0555	20-Jun-2019		Jennifer Traub	NIBE Stoves Canada Corp.,Robert Little Holdings Ltd.,Robert A. Little,Glen Spinelli,Tracey Zomar,Anthony Woodruff		\$	\$	\$	\$	105,000 \$	\$	105,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2020-013	19E1573	21-Aug-2019	31-May-2019	Signe Blanka Leisk	Brampton Areas 52, 53 Landowners Group Inc.	30-Sep-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2020-018	19E1718	28-Aug-2019	2-Jan-2019	Stephane Voudouzin	Sophia Phillips		\$	\$	\$	\$	1,000 \$	\$	1,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2020-024	19-0802	12-Sep-2019		Sam Cole	RavenQuest BioMed Inc.		\$	\$	\$	\$	\$	25,000 \$	25,000 \$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2020-029	TBA	24-Sep-2019	5-Sep-2019	Jason Holowachuk	Brack White Canada LLC		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2020-037	19-0657	19-Jul-2019		Shauna Kathleen Towriss	TELUS Pension Investment Ltd.	30-Dec-2019	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2020-042	TBA	24-Oct-2019		Laurie Lapaline	TBO		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLIAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Incurred Liability	LS Total Incurred	CLIAS Indemnity Paid	CLIAS Legal Paid	CLIAS Adjusting Paid	CLIAS Incurred Liability	CLIAS Adjusting Reserve	CLIAS Indemnity Reserve	CLIAS Legal Reserve	CLIAS Recov Other Reserve	CLIAS Total Incurred	
CLIAS2020-045	TBA	29-Oct-2019	28-Nov-2011	David Redmond, John Birch	Ceptonon Apartment REIT		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLIAS2020-060	19E2572	13-Dec-2019		Cameron Mingay	LBP Holdings Ltd.		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLIAS2020-061	TBA	6-Dec-2019	17-Jun-2015	Matthew Peters	Fieldhouse Capital Management Inc.		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLIAS2020-064	TBA	20-Dec-2019	28-Aug-2019	Melissa Winch	TDL Group Corp.		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLIAS2020-070	TBA	31-Dec-2019		Colin Ground	Polaris Infrastructure Inc.		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLIAS2020-071	TBA	30-Dec-2019		Larry Ellis	3070 Ellesmere Developments Inc.		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Total							\$ 4,141,182	\$ 22,376	\$ 7,649,736	\$ 1,565,914	\$ 2,787,117	\$ 16,166,325	\$ 45,674,187	\$ 6,051,676	\$ -	\$ -	\$ -	\$ 3,000,000	\$ 4,000,000	\$ -	\$ 58,725,862	

APPENDIX G

Risk Management Policies and Procedures

Name of Firm

Cassels Brock & Blackwell LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Please attach separately with the email

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2019)

Name of Firm: Cassels Brock and Blackwell LLP

1. Please advise if there is a committee or partner with overall responsibility for professional liability risk management of your firm.

Audit & Risk Management Committee (ARMC) and John Birch, ARMC Member and General Counsel

The ARMC is responsible for the administration of the various insurance policies maintained by the Firm. This Committee includes at least one litigation lawyer who monitors claims made against the professional liability policy.

The ARMC is also responsible for maintaining standards of practice and ethics within the Firm. It also deals with matters involving conflicts or potential conflicts of interest, complaints from clients and a variety of other matters.

2. Please provide a full description of the firm's risk management policies and procedures and include the following:

The Firm has written policies and procedures dealing with a number of risk management areas, including conflicts of interest, trust funds, lawyers acting as directors and officers, ownership of significant interests in a client, audit enquiries, professional conduct, ethics and standards, professional development, confidentiality and security, pre-clearance of securities trades, file intake and retainers. The firm also provides guidance to lawyers regarding opinions and outside counsel guidelines.

- (a) A list of the firm's written risk management policies and procedures (e.g. with respect to client intake, conflict checking, lawyers acting as directors or officers) and how these policies and procedures are communicated (e.g. internal practice policy or policy manual, seminars, etc.).

The Firm's risk management policies and procedures are documented in the Firm's policy manual and stand-alone policies, which include the following:

- **client intake**
- **conflict checking**
- **directors and officers**
- **professional errors and omissions**
- **securities trading**
- **ownership interest in clients**

New lawyers and other employees receive instruction regarding the Firm's risk management policies and procedures during orientation sessions that are carried out at the commencement of their employment. The Firm's risk

CLLAS Renewal Application

Appendix G – Risk Management Policies and Procedures

(originally filed in 2009; reviewed March 2019)

Name of Firm: Cassels Brock and Blackwell LLP

management policies are readily accessible on-line at all times on the Firm's intranet and in the document management system. Lawyers and staff are advised of updates to the Firm's risk management policies and procedures as they occur and appropriate amendments are reflected in the policy manual and document management system.

- (b) The firm's procedure for opening or starting work on a file (e.g. use of a standard new client form, retainer letter, conflict check, approval process, etc.).

File Openings

For all new client file-opening forms that are received, the client and matter name is searched on both client and matter levels. If any potential conflict is found, the initiating lawyer/assistant is notified. If the Accounting Department is advised that there is no conflict, the file is opened. If the Accounting Department is advised that there is a conflict, the file is not opened.

For all new matters for existing clients) the client and matter name is searched on both client and matter levels.

The Daily Open Client & Matter report is circulated to all lawyers and assistants. As stated in the opening statement of that report, it is the responsibility of each lawyer to review the report and act immediately if a potential conflict is disclosed.

Conflict Inquiries

For all prospective clients and all new matters for existing clients, unless in the latter case it is absolutely clear that there is no opposite party or, if so, that it is absolutely clear that, for whatever reason, there could be no conflict, the initiating lawyer sends a conflict inquiry using the Conflict Search form.

When a conflict inquiry is received, the File Opening Clerk does a systems search of the client database (open and closed). The data includes recorded opposite parties. If any potential conflict is revealed, a conflict report printout is produced and sent to the initiating lawyer to discuss/resolve with any other lawyers involved, if that can be done without revealing confidential information. In situations where it may be necessary to reveal confidential information in order to assess and resolve a potential conflict, a member of the ARMC receives this confidential information separately from each side and provides guidance regarding the conflict (without revealing the confidential information).

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2019)

Name of Firm: Cassels Brock and Blackwell LLP

Notice of a confidentiality screen is circulated to all affected parties. At this point the client file security measures are put in place immediately.

Retainer Letter

The firm's policy is that an engagement letter must be prepared and sent to the client in respect of all new matters (including new matters for an existing client). The engagement letter generally addresses the following issues:

- **Scope of work**
- **Name of the lawyer(s) providing the services**
- **Name of the principal lawyer**
- **Fees**
- **Out-of-pocket expenses**
- **Retainer and trust deposit**
- **Billing arrangements and terms of payment**
- **Termination of representation (withdrawal circumstances, etc.)**

In addition, standard terms and conditions (contained in a separate document that is sent with the engagement letter) are incorporated by reference into most engagements.

Tickler/Follow-Up Systems

It is the responsibility of each lawyer to select and maintain his/her own tickler system (computerized or manual) to remind him/her to deal with a particular issue within a specific time frame. Lawyers are asked to familiarize themselves with the tickler system used by other lawyers in their Practice Group, and ensure matters are brought forward in a timely manner.

The intellectual property sub-group of the Business Law Group has a separate, specific system for diarizing trademark-related deadlines.

APPENDIX H

Cyber Liability

Name of Firm

Cassels Brock & Blackwell LLP

1	Personnel	Enter Yes or No
a)	Do you have a Chief Security Officer or Chief Information Security Officer or equivalent?	No
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?	
	Chief Financial Officer, Chief Information Officer	
b)	Do you have a Chief Privacy Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?	
2	Protection	Enter Yes or No
a)	Do you use encryption tools to enhance the integrity and confidentiality of confidential information?	No
	If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)	
	- Data at rest	Yes
	- Data in transit	Yes
	- Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)	Yes
	- None of the above	
b)	Do you use and regularly update industry-standard antivirus software?	Yes
c)	Do you install the latest software updates to reduce security vulnerabilities?	Yes
d)	Do you require that passwords be a minimum length and contain alpha and numeric characters?	Yes
e)	Do you require that passwords be regularly updated?	Yes
f)	Do you check to make sure that no spyware or adware resides on your computers?	Yes
g)	Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems?	Yes
h)	Is the data on your servers encrypted?	No
	Additional data protection in place:	
	i. Physical access to network servers and attached storage is restricted by industry standard card reader technology.	
	ii. Login access to servers and storage devices while in the office is restricted to key IT Administrators.	

APPENDIX H

Cyber Liability

Name of Firm

Cassels Brock & Blackwell LLP

iii. Onsite, staff members must enter a username and password combination to access files and other network resources. Staff are required to change their password on a periodic basis.

iiii. Remote access to network resources, files and applications is facilitated via a Citrix system. Staff must enter a username and password combination. Additionally, remote access via Citrix also requires a PIN+Token code combination provisioned by industry accepted third party application SecurID from RSA, and EMC company.

- | | |
|--|-----|
| i) Is the data on your desktop and laptop computers encrypted? | Yes |
| j) Is the data on your mobile devices encrypted? | Yes |
| k) Have predesignated computer system/application access rights and privileges been set for all authorized users? | Yes |
| l) Is there hourly or daily automatic backup of documents and emails? | Yes |
| m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? | Yes |
| n) Are backups stored off-site at a secure location? | Yes |
| o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? | Yes |
| p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? | Yes |
| q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? | Yes |
| Yes, most of the time | |

3 Incident Report

Enter Yes or No

Do you have a written network security incident response plan?

Yes

If "yes":

- | | |
|--|-----|
| a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? | Yes |
| b) Does it include procedures to alert your clients that their data may have been compromised? | Yes |
| Yes, but not in all circumstances | |

4 Policies

Enter Yes or No

- | | |
|--|-----|
| a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? | Yes |
| b) Do you advise your lawyers of the risks of using unencrypted email? | No |
| c) Does your firm advise your lawyers of the dangers of metadata? | Yes |
| d) Do you purchase insurance other than CLAS coverage to protect you in the case of privacy breaches? | Yes |

APPENDIX H

Cyber Liability

Name of Firm

Cassels Brock & Blackwell LLP

e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?

Yes

APPENDIX I

2020 Professional Liability Insurance Application and Exemption Form Submitted to LAWPRO

Name of Firm

Cassels Brock & Blackwell LLP

Please attach separately with the email

Cassels

January 9, 2020

LawPRO
250 Yonge St.
Suite 3101. P.O. Box 3
Toronto, ON M5B 2L7

mquadros@cassels.com

tel: +1 416 869 5381

fax: +1 416 360 8877

Dear Sir/Madam:

Enclosed please find a cheque in the amount of \$609,816.20 for our 2020 premiums.

Kindly note that payment has not been included for the following individuals:

- Hylton, Marlon (A058608I) – departed Cassels on December 31, 2019
- Morand, James (A029609R) – departed Cassels on December 16, 2019
- Hinman, Pamela (A068438R) – moved to another province, and filed an insurance exemption in October of 2019

Yours truly,

Cassels Brock & Blackwell LLP



Melanie Quadros
Insurance and Regulatory Administrator

MQ/mq

CBBSUPPORT*1711215.1

t: 416 869 5300
f: 416 360 8877
cassels.com

Cassels Brock & Blackwell LLP
Suite 2100, Scotia Plaza, 40 King Street West
Toronto, ON M5H 3C2 Canada

LAWPRO[®]

Lawyers' Professional Indemnity Company
Assurance LAWPRO^{MD}

250 Yonge Street
Suite 3101, P.O. Box 3
Toronto, Ontario M5B 2L7

service@lawpro.ca
lawpro.ca

t 416-598-5800
1-800-410-1013

f 416-599-8341
1-800-286-7639

Policy No. 2020-001

*** FIRM SUMMARY ***

LAW SOCIETY OF ONTARIO

Page 1 of 7

To: CASSELS BROCK & BLACKWELL LLP
SCOTIA PLAZA
#2100 - 40 KING ST WEST
TORONTO, ON M5H 3C2

Invoice Date: 13 DEC 2019
Policy Effective/Due Date: 01 JAN 2020
Total Amount: \$632,842.20
Account: A034880

Attention: Accounts Payable

*** PREMIUM DETAIL ***

Description	Amount
CLAIMS HISTORY LEVY SURCHARGE	\$17,500.00
ADJUSTED BASE-RATED PREMIUM	\$565,415.00
PST	\$46,633.20
*** TOTAL ***	=====
	\$629,548.20

*** INSTALMENT SUMMARY ***

Instalment Date	Original Amount	Paid Amount	Open Amount
** PAYABLE 01 JAN 2020 **	\$632,842.20	\$3,294.00	\$629,548.20
*** TOTAL ***	=====	=====	=====
	\$632,842.20	\$3,294.00	\$629,548.20

Early payment discount: Before 06 FEB 2020, pay only: \$618,694.20
After 06 FEB 2020, pay: \$629,548.20
Save: \$10,854.00

←
Less: Morand, James
Hilton, Martin
Hinman, Pamela

(Detach and return with payment)

Account: A034880
CASSELS BROCK & BLACKWELL LLP

Due Date: 01 JAN 2020
Instalment Total: \$629,548.20
Balance: \$629,548.20
Amount Enclosed: ~~\$629,548.20~~

Pay To: Law Society of Ontario
c/o Lawyers' Professional Indemnity Company
250 Yonge Street
Suite 3101, P.O. Box 3
Toronto, ON M5B 2L7

* Please attach a copy of the Firm Summary to your cheque *

***** FIRM SUMMARY *****

Firm: A034880

Page 2 of 7

The following members are covered on this summary:

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A0116600	PETERSON, DAVID ROBERT	\$3,294.00	\$3,294.00	\$3,294.00
A013475H	CRAIG, JOHN HUNTER	\$3,294.00	\$3,294.00	\$3,294.00
A015550F	BURDEN, WILLIAM JOHNSON	\$3,294.00	\$3,294.00	\$3,294.00
A016589I	ABRAMSON, LANNING JAY	\$6,102.00	\$6,102.00	\$6,102.00
A016808H	GOTLIEB, MAXWELL	\$3,294.00	\$3,294.00	\$3,294.00
A017904B	PLENER, SHELDON	\$3,294.00	\$3,294.00	\$3,294.00
A018514A	MANZER, ALISON ROSEMARY	\$3,294.00	\$3,294.00	\$3,294.00
A018593C	SELZNICK, STEPHEN IRA	\$3,402.00	\$3,402.00	\$3,402.00
A019947K	KLIGMAN, ROBERT DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A020027U	PINOS, JOSEPH DAVID TIMOTHY	\$3,294.00	\$3,294.00	\$3,294.00
A020135M	YOUNG, MARK IAN	\$3,240.00	\$3,240.00	\$3,240.00
A021142G	STEINHART, GARY	\$3,294.00	\$3,294.00	\$3,294.00
A021789J	MINGAY, CAMERON ARTHUR	\$3,294.00	\$3,294.00	\$3,294.00
A021828Q	REEVE, JAMES BRIAN	\$3,294.00	\$3,294.00	\$3,294.00
A022845E	NORTON, CHRISTOPHER BRIAN	\$3,294.00	\$3,294.00	\$3,294.00
A022897M	SNIDER, KENNETH JUDD	\$3,294.00	\$3,294.00	\$3,294.00
A023256T	STEIN, PAUL MICHAEL	\$5,994.00	\$5,994.00	\$5,994.00
A024238L	SILVER, LORNE STEPHEN	\$5,994.00	\$5,994.00	\$5,994.00
A025356I	GLICKMAN, LEONARD	\$3,294.00	\$3,294.00	\$3,294.00
A025675Q	ROY, JEFFREY PAUL	\$3,294.00	\$3,294.00	\$3,294.00
A025728B	MERCER, CATHY LEE	\$3,294.00	\$3,294.00	\$3,294.00
A025846K	KARN, BERNICE MABELLE	\$3,294.00	\$3,294.00	\$3,294.00
A026367J	SHAW, GEOFFREY BRACKLEY	\$3,294.00	\$3,294.00	\$3,294.00
A026798Q	ALTER, MATTHEW RICHARD	\$3,294.00	\$3,294.00	\$3,294.00
A027474Q	REDMOND, DAVID ANTHONY	\$8,802.00	\$8,802.00	\$8,802.00
A027732S	SWICK, BRENDA CAROL	\$3,294.00	\$3,294.00	\$3,294.00
A029476H	FLEISHER, JONATHAN EMMANUEL	\$3,294.00	\$3,294.00	\$3,294.00
A029609R	MORAND, JAMES GERARD	\$3,294.00	\$3,294.00	\$3,294.00
A029730C	WATTERWORTH, ANN LOUISE	\$3,294.00	\$3,294.00	\$3,294.00
A029839C	DOMINIQUE, BRIAN PATRICK	\$3,402.00	\$3,402.00	\$3,402.00
A030156D	WEINBERG, LAWRENCE MARK	\$3,294.00	\$3,294.00	\$3,294.00
A030670L	GOLDMAN, JASON IRA	\$3,294.00	\$3,294.00	\$3,294.00
A030729S	KUKULOWICZ, ROBERT SHAYNE	\$3,294.00	\$3,294.00	\$3,294.00
A030938T	ARNONE, FRANCESCO PIETRO	\$3,294.00	\$3,294.00	\$3,294.00
A031351O	WUNDER, MICHAEL JOHN	\$3,402.00	\$3,402.00	\$3,402.00

*** FIRM SUMMARY ***

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A032187D	COHEN, ROBERT BRIAN	\$5,994.00	\$5,994.00	\$5,994.00
A032266J	GOODMAN, GORDON PETER	\$3,294.00	\$3,294.00	\$3,294.00
A032748J	BERMAN, WENDY RUTH	\$3,294.00	\$3,294.00	\$3,294.00
A033541W	WARD, DAVID STUART	\$3,402.00	\$3,402.00	\$3,402.00
A035009R	MERCIER, RONALD JOSEPH MARCUS	\$3,402.00	\$3,402.00	\$3,402.00
A035302P	REBACK, ANDREW MAXWELL	\$3,294.00	\$3,294.00	\$3,294.00
A036620P	BENNETT, MARK TORRANCE	\$3,294.00	\$3,294.00	\$3,294.00
A036951J	VETTESE, JOHNNY PAUL	\$3,294.00	\$3,294.00	\$3,294.00
A037339O	TAYLOR, KRISTIN RACHELL	\$3,294.00	\$3,294.00	\$3,294.00
A038968U	BIRCH, JOHN NICHOLAS	\$3,402.00	\$3,402.00	\$3,402.00
A039215F	NEWMAN, JOHN CHARLES ROSS	\$3,402.00	\$3,402.00	\$3,402.00
A039474W	HAMILTON, ARTHUR LLOYD	\$3,294.00	\$3,294.00	\$3,294.00
A040416E	DELUCA, FRANCIS ALBERT	\$3,294.00	\$3,294.00	\$3,294.00
A040502Q	KAPSALES, TOMMY	\$3,402.00	\$3,402.00	\$3,402.00
A040901D	ILIOPOULOS, ALEXANDRA	\$3,294.00	\$3,294.00	\$3,294.00
A040976K	OSBORNE, WILLIAM MICHAEL GRANT	\$3,294.00	\$3,294.00	\$3,294.00
A041014R	SALEM, ANDREW EMILE	\$3,402.00	\$3,402.00	\$3,402.00
A041656R	DE COSIMO, MICHAEL CHRISTOPHER	\$3,294.00	\$3,294.00	\$3,294.00
A041824A	BOIVIN, ANDRE MARCEL	\$3,294.00	\$3,294.00	\$3,294.00
A041858M	JACKSON, LARA MARY BESS	\$3,294.00	\$3,294.00	\$3,294.00
A042178M	ARBUCK, SIDNEY JASON	\$3,294.00	\$3,294.00	\$3,294.00
A042203S	BULLEN, JASON KENNETH SPERO	\$3,294.00	\$3,294.00	\$3,294.00
A042258O	HOGAN, GREGORY JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A042811G	CAMPBELL, JENNIFER LYNNE	\$3,294.00	\$3,294.00	\$3,294.00
A042930L	RICH, CHARLES BRADLEY	\$3,402.00	\$3,402.00	\$3,402.00
A042973V	FITZGERALD, ANDREA CATHERINE	\$3,294.00	\$3,294.00	\$3,294.00
A043080N	HERSH, CHRISTOPHER MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A043511B	LAPALME, LAURIE CHANTAL	\$3,294.00	\$3,294.00	\$3,294.00
A044483S	ACCURSI, GARY CHAD	\$3,294.00	\$3,294.00	\$3,294.00
A044563U	GROUND, COLIN WILLIAM	\$5,994.00	\$5,994.00	\$5,994.00
A044616F	MANIACI, SEAN ANTHONY SAL	\$3,294.00	\$3,294.00	\$3,294.00
A045362J	CLEMENTS, LINDSAY ANN	\$3,294.00	\$3,294.00	\$3,294.00
A045423T	HARDY, SHANE DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A045455Q	LEISK, SIGNE BLANKA	\$3,402.00	\$3,402.00	\$3,402.00
A045616Q	CHUMMAR, NOBLE CYRIL	\$3,294.00	\$3,294.00	\$3,294.00
A045862F	LYSY, ROBERT MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00

*** FIRM SUMMARY ***

Firm: A034880

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A046555R	BELLISSIMO, JOSEPH JAMES	\$3,402.00	\$3,402.00	\$3,402.00
A046572R	CHISICK, CASEY MARK	\$3,294.00	\$3,294.00	\$3,294.00
A046978W	RONDE, DEREK BART CHARLES	\$3,294.00	\$3,294.00	\$3,294.00
A048080W	JESSOME, LAURIE BRIDGET	\$3,294.00	\$3,294.00	\$3,294.00
A048716Q	HAYMAN, ALISON JEAN	\$3,294.00	\$3,294.00	\$3,294.00
A048930I	GEARY, NICOLA ELIZABETH	\$3,294.00	\$3,294.00	\$3,294.00
A049302U	DIETRICH, JANE OLIVE	\$3,402.00	\$3,402.00	\$3,402.00
A049313K	ELLIS, LARRY CHRISTOPHER	\$3,294.00	\$3,294.00	\$3,294.00
A049330K	HENEIN, PETER JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A049559L	GRAY, TILLY	\$3,294.00	\$3,294.00	\$3,294.00
A049784Q	FRANKEL, THEODORE BLOCH	\$3,294.00	\$3,294.00	\$3,294.00
A049821I	KING, JAY FRANCIS	\$3,294.00	\$3,294.00	\$3,294.00
A049870F	NAUSHAHI, ALI JAWAID	\$3,294.00	\$3,294.00	\$3,294.00
A049932L	UUKKIVI, RAIVO	\$3,402.00	\$3,402.00	\$3,402.00
A050708C	CHOI, NANCY	\$3,294.00	\$3,294.00	\$3,294.00
A051289L	FREEMAN, JONATHAN	\$3,402.00	\$3,402.00	\$3,402.00
A052626H	NICHOLAS, ALOYSIUS CHANDIMAL	\$3,294.00	\$3,294.00	\$3,294.00
A052723J	WOOLFORD, LUKE STEPHEN	\$3,294.00	\$3,294.00	\$3,294.00
A052864R	JAKIBCHUK, ADRIAN DANIEL	\$3,294.00	\$3,294.00	\$3,294.00
A053817A	PIZALE, JOHN ALEXANDER	\$3,294.00	\$3,294.00	\$3,294.00
A055767C	BLACKBURN, JEDIDIAH MONTEGU	\$3,402.00	\$3,402.00	\$3,402.00
A055875R	LEITH, BENJAMIN DARRYL	\$3,402.00	\$3,402.00	\$3,402.00
A055957L	ROBINSON, FRANK SAUL	\$3,294.00	\$3,294.00	\$3,294.00
A056576I	KENNEDY, STEVEN NOEL	\$3,240.00	\$3,240.00	\$3,240.00
A057154K	KHULLAR, SHAUNIK	\$3,294.00	\$3,294.00	\$3,294.00
A057297K	WINCH, MELISSA ERICA	\$3,402.00	\$3,402.00	\$3,402.00
A057305Q	ZAGAR-DRISCOLL, JESSICA ANNE	\$3,294.00	\$3,294.00	\$3,294.00
A057316G	ABUDULAI, SUHUYINI HADIA	\$3,294.00	\$3,294.00	\$3,294.00
A057488P	LUNGU, MICHAEL MOSHE	\$3,294.00	\$3,294.00	\$3,294.00
A057579H	SMITH, MARAH STEPHANIE	\$3,294.00	\$3,294.00	\$3,294.00
A058204S	HOLLAND, STEFANIE ANN	\$3,294.00	\$3,294.00	\$3,294.00
A058282B	GORYS, ANDREA JOSEFA	\$3,294.00	\$3,294.00	\$0.00
A058406N	PICONE, JOHN MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A058577D	GARDOS, DAVID PETER	\$3,294.00	\$3,294.00	\$3,294.00
A058600I	HYLTON, MARLON GERMAINE	\$3,294.00	\$3,294.00	\$3,294.00
A058663P	MAYZEL, ERIC ALAN	\$3,294.00	\$3,294.00	\$3,294.00

***** FIRM SUMMARY *****

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A059037T	PILKINGTON, ADRIANNA MONIQUE	\$3,402.00	\$3,402.00	\$3,402.00
A059510J	JACOBS, RYAN COREY	\$3,294.00	\$3,294.00	\$3,294.00
A059912H	PENDRITH, COLIN DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A060137J	JIMENO, NATASHA LEI	\$3,402.00	\$3,402.00	\$3,402.00
A060619J	WASYLYK, JENNIFER ASHLEY	\$3,294.00	\$3,294.00	\$3,294.00
A061177T	SULLIVAN, PETER JOSEPH GREGORY	\$3,294.00	\$3,294.00	\$3,294.00
A061421U	BROWN, GRAHAM RICHARD	\$3,402.00	\$3,402.00	\$3,402.00
A061610K	MARTIN, JEREMY MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A061877S	HOJILLA, PAMELA GAY M.	\$3,294.00	\$3,294.00	\$3,294.00
A061880R	HORKINS, CHRISTOPHER BRINDLEY	\$3,294.00	\$3,294.00	\$3,294.00
A061984O	RAMGOOLAM, ROSSI RYAN	\$3,402.00	\$3,402.00	\$3,402.00
A062390D	ST. CYR, MARK ANDRE	\$3,402.00	\$3,402.00	\$3,402.00
A062828J	CRADDOCK, ERIN MICHELLE	\$3,294.00	\$3,294.00	\$3,294.00
A063638L	SASSI, MONIQUE	\$3,402.00	\$3,402.00	\$3,402.00
A063639H	ENGLE-HARDY, SARAH NOELLE	\$3,294.00	\$3,294.00	\$3,294.00
A063778A	MIKELBERG, JEFFREY PHILLIP	\$3,294.00	\$3,294.00	\$3,294.00
A063847M	RUSSELL, CAITLIN ANNE	\$3,294.00	\$3,294.00	\$3,294.00
A064124C	LEVINSKY, DEREK WILLIAM	\$3,294.00	\$3,294.00	\$3,294.00
A064160R	MOHAJER-ASHJAI, ARDAVAN	\$3,402.00	\$3,402.00	\$3,402.00
A064239M	SOMANI, ALY-KHAN	\$3,294.00	\$3,294.00	\$3,294.00
A064355G	COHEN, CARLY ELYSE	\$3,294.00	\$3,294.00	\$3,294.00
A064583W	ROBERTS, STEPHANIE KATHRYN-MAY	\$3,294.00	\$3,294.00	\$3,294.00
A064743D	POTTER, CARLA ELIZABETH	\$3,402.00	\$3,402.00	\$3,402.00
A064908K	LEVINE, NATALIE ELAINE	\$3,402.00	\$3,402.00	\$3,402.00
A064915Q	LITCHEN, JAMIE ALEXANDRA	\$3,294.00	\$3,294.00	\$3,294.00
A065231J	OMOKHODION, PATIENCE NWANNE	\$3,294.00	\$3,294.00	\$3,294.00
A065384D	SIMPSON, KYLE JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A065425C	BORNSTEIN, JEREMY DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A065457W	CONSTANTINE, MARIA CATHERINE	\$3,294.00	\$3,294.00	\$3,294.00
A065534N	HENDERSON, STEPHEN JAMES BURRO	\$3,294.00	\$3,294.00	\$3,294.00
A065702T	SELBY, CHRISTOPHER CARL	\$3,294.00	\$3,294.00	\$3,294.00
A065741W	TOMBS, ANNA LESLIE ROBINSON	\$3,294.00	\$3,294.00	\$3,294.00
A065752M	VOUDOURIS, STEPHANIE STELLA	\$3,294.00	\$3,294.00	\$3,294.00
A065838N	COGGIN, MARISA MARIE	\$3,294.00	\$3,294.00	\$3,294.00
A065857F	DI FRANCESCO, STEFANIE ANNE	\$3,294.00	\$3,294.00	\$3,294.00
A066020E	SCHAEFER, BRITTANY ALEXANDRA	\$2,338.20	\$2,338.20	\$2,338.20

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A066146E	WOODMAN, BRYAN ROBERT	\$3,294.00	\$3,294.00	\$3,294.00
A066331K	KHAN, TAYYABA	\$3,294.00	\$3,294.00	\$3,294.00
A066430E	PHILLIPS, JACKSON EDWARD NIXON	\$3,294.00	\$3,294.00	\$3,294.00
A067695I	BYERS, KATHLEEN NOEL	\$3,294.00	\$3,294.00	\$3,294.00
A068041K	CIPOLLONE, DANIEL PHILIP	\$3,294.00	\$3,294.00	\$3,294.00
A068146D	LAI, KRISTEN SEI-TING	\$2,656.80	\$2,656.80	\$2,656.80
A068438R	HINMAN, PAMELA JANE	\$3,294.00	\$3,294.00	\$3,294.00
A068463T	KEATING, MARISA BIANCA	\$3,402.00	\$3,402.00	\$3,402.00
A068574W	SHERMAN, JONATHAN DANIEL	\$3,294.00	\$3,294.00	\$3,294.00
A068794N	KUREDJIAN, JESSICA LORRAINE	\$3,294.00	\$3,294.00	\$3,294.00
A070041V	LESZCZ, NOAH SAMUEL	\$2,656.80	\$2,656.80	\$2,656.80
A070051P	LYLE, JAMES WILLIAM	\$2,656.80	\$2,656.80	\$2,656.80
A070229E	BLOM, RANDALL HARDWIN	\$2,656.80	\$2,656.80	\$2,656.80
A070230L	BLUMBERG, ROBYN ERIN	\$2,656.80	\$2,656.80	\$2,656.80
A070303H	GOODIS, BENJAMIN RYAN	\$2,656.80	\$2,656.80	\$2,656.80
A070336A	KELMAN, DAVID LEE	\$2,656.80	\$2,656.80	\$2,656.80
A070468K	TABI, KWAKU ALBERT KODIE	\$2,764.80	\$2,764.80	\$2,764.80
A070597J	GROSSMAN, LAUREN ELYSE	\$2,656.80	\$2,656.80	\$2,656.80
A070625D	JIVAJI, FATEMA NAJMUDDIN	\$3,402.00	\$3,402.00	\$3,402.00
A071003C	MURPHY, ALEXANDRA ELIZABETH FR	\$2,656.80	\$2,656.80	\$2,656.80
A071053S	SEBANC, STEFANIE LYNN	\$2,656.80	\$2,656.80	\$2,656.80
A072223E	GARRAWAY, STEPHANIE REMA	\$2,338.20	\$2,338.20	\$2,338.20
A072317H	MOHER, SOPHIE HOPE	\$2,338.20	\$2,338.20	\$2,338.20
A072541Q	EL OFIR, MIRIAM	\$2,338.20	\$2,338.20	\$2,338.20
A072837O	CIARLARIELLO, MARCO JOSEPH	\$2,338.20	\$2,338.20	\$2,338.20
A072890G	GROENEWALD, ROWAN SULLIVAN	\$2,338.20	\$2,338.20	\$2,338.20
A072958L	MASSIE, SAMUEL MACKENZIE	\$2,338.20	\$2,338.20	\$2,338.20
A073009A	POLITANO, STEFAN CHRISTOPHER	\$2,338.20	\$2,338.20	\$2,338.20
A073238M	KERZNER, STEPHANIE MIA	\$2,338.20	\$2,338.20	\$2,338.20
A073282G	METALLO, AMANDA MARIA	\$2,338.20	\$2,338.20	\$2,338.20
A073313L	PODOLSKY, CHAD DANIEL	\$2,338.20	\$2,338.20	\$2,338.20
A073338C	SCOLIERI, AMANDA ELVIRA	\$2,338.20	\$2,338.20	\$2,338.20
A073694V	TALLIM, MICHAEL RESHAUN	\$3,294.00	\$3,294.00	\$3,294.00
A074651W	LAU, FLORENCE PUI YA	\$2,019.60	\$2,019.60	\$2,019.60
A074657V	LEE, HONG WING CONRAD	\$2,019.60	\$2,019.60	\$2,019.60
A074715U	NGO, RICHARD	\$2,019.60	\$2,019.60	\$2,019.60

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A074798G	SINGH, DAVID PATRICK POWER	\$2,019.60	\$2,019.60	\$2,019.60
A074885O	ANAND, GAURAV	\$2,019.60	\$2,019.60	\$2,019.60
A074914E	BLAIR, LUCAS SAMSON CLEMENT	\$2,127.60	\$2,127.60	\$2,127.60
A074926N	BRITTON, JAMES MICHAEL	\$2,019.60	\$2,019.60	\$2,019.60
A075184H	O'BRIEN, TEGAN ANNE	\$2,019.60	\$2,019.60	\$2,019.60
A075235A	ROURKE, MEGHAN TEDDY	\$2,127.60	\$2,127.60	\$2,127.60
A075277O	SOKOLOFF, SAM TAYLOR	\$2,019.60	\$2,019.60	\$2,019.60
A075500I	HAYE-ASHMEADE, TASCHINA CHANEL	\$2,019.60	\$2,019.60	\$2,019.60
A075663T	QIN, YI JASMINE	\$2,019.60	\$2,019.60	\$2,019.60
A076333J	O'DONNELL, KATIE MAY	\$2,019.60	\$2,019.60	\$2,019.60
A077099N	OBANDO OSPINA, ANY ANDREA	\$1,701.00	\$1,701.00	\$1,701.00
A077268P	CHIU, TIFFANY CAROLYN	\$1,701.00	\$1,701.00	\$1,701.00
A077482H	SHEPHERD, JONATHAN WALTER	\$1,701.00	\$1,701.00	\$1,701.00
A077579V	BROSS, AVE YAAKOV	\$1,809.00	\$1,809.00	\$1,809.00
A077615R	DAVIS, TRISTAN ROBERT	\$1,701.00	\$1,701.00	\$1,701.00
A077712T	LEE, KELLY-ANN	\$1,701.00	\$1,701.00	\$1,701.00
A077773C	PATEL, PARAS	\$1,701.00	\$1,701.00	\$1,701.00
A077840W	TEPPERMAN, JESSE LEE	\$1,701.00	\$1,701.00	\$1,701.00
A078000W	HAMALIUK, JOSEPH ALAN MICHAEL	\$1,701.00	\$1,701.00	\$1,701.00
A078028B	KIM, HEESOO	\$1,701.00	\$1,701.00	\$1,701.00
A078229A	DYKSTRA, LINDSAY ELIZABETH	\$2,338.20	\$2,338.20	\$2,338.20
A078393S	KHAJETOORIAN, ARMEN	\$3,402.00	\$3,402.00	\$3,402.00

201 members are listed on the summary

Instalment Amount:	\$632,842.20
Total Premium:	\$632,842.20
Outstanding Amount:	\$629,548.20